Description of the Project and its Significance

Used as the principal support for all professional motion pictures produced between the dawn of cinema and the early 1950s (and for a great deal of flexible still photographs during the same period), cellulose nitrate found its way into a huge proportion of our early 20th century photographic heritage. Nitrate film must be preserved; yet in order to ensure its preservation at the lowest possible cost, we need to substantially improve our understanding of the relationship between its decay and flammability.

A consensus that favors long-term storage for the preservation of cellulose nitrate film materials is increasingly emerging. However, the moving image archival community is still struggling to properly evaluate cellulose nitrate chemical decay and the attendant fire risk. Very little empirical research on nitrate film flammability has been published since Eastman-Kodak discontinued the manufacture of cellulose nitrate film stock in 1952, and there are dramatic discrepancies between descriptions of nitrate fire risk in recent sources.

The University of Wisconsin-Madison's (UW's) Wisconsin Center for Film & Theater Research (WCFTR, Communication Arts Department) and the Mahanthappa Research Group (UW Chemistry Department), in partnership with the Wisconsin Historical Society, seek \$213,632 for a 30-month project to empirically test cellulose nitrate film chemical degradation and the fire risk it poses. Project results will be translated into improved best practice guidelines for the handling and long-term storage of cellulose nitrate film. The results of these studies will be published in a white paper targeted at an audience of archival professionals, and, as relevant, in amendments to the International Organization for Standardization (ISO) and National Fire Protection Association (NFPA) standards on nitrate handling and storage.

This project presents a unique opportunity, because a rare sample of heritage cellulose nitrate roll film is available for direct physical and chemical studies. Samples will be used in a variety of chemical analyses, including an extended accelerated aging trial, in order to test the following critical issues:

- the accuracy of the standard visual field test for nitrate decay;
- how readily and intensely heritage nitrate films burn;
- whether nitrate films exhibiting advanced stages of decay are more likely to ignite at low temperatures (35-60 °C);
- whether re-housing nitrate films in iron-free containers slows the production of the "brown powder," usually considered to be the final stage of nitrate decay;
- and whether the brown powder is flammable and shock-sensitive.

A laboratory approach will be supplemented with an historical approach. WCFTR staff will interview practicing nitrate archivists and compile an annotated bibliography of sources on the history of cellulose nitrate film manufacturing, use, and preservation.

This project will arm institutions with better information for cost-benefit analyses of preserving cellulose nitrate holdings, will clarify contradictory information circulating in current standards, and will serve as a model for future collaborations between the archival, chemical, and safety communities.

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Significance

When it comes to nitrate film, our cultural heritage is inextricably linked to issues of human safety. Cellulose nitrate was used as the base for professional motion picture film for the first half of the 20th century, which means that virtually all works of commercial cinema made between the 1890s and the early 1950s were originally shot on nitrate stock. However, nitrate film is a highly flammable material. A relative of guncotton, cellulose nitrate ignites readily, burns at elevated temperatures, and cannot be easily extinguished. Nitrate fires generate their own oxygen supply, continuing to blaze even if completely immersed underwater. As they burn, nitrate fires also produce toxic gases, thus amplifying the damage they can wreak.

Nevertheless, cellulose nitrate was very common during the early 20th century, and not only in cinemas. It was used to make a variety of plastic objects (*e.g.*, combs and children's toys) and also served as the base material for many still photographic negatives. Moving image film has always been recognized to present an especially elevated risk, because it is more heavily nitrated than other nitrocellulose-containing products and because it is stored in tightly wound rolls of 1,000 feet or more. The sheer length of motion picture reels ensured a massive inferno if even a single roll went up.

Sadly, nitrate film has taken an elevated toll in both human and material terms. In noncommercial archives alone, "nitrate fires have taken at least five lives and destroyed literally millions of feet of our cinematic heritage," including "all the films that had been produced by both camps during the [Spanish] Civil War'; at least fifty unique actuality films documenting Czech life in the interwar period; nearly all of the pre-1951 holdings of one of Egypt's major film studios; more than 12.5 million feet of unique Universal [studio] outtakes; 'irreplaceable material' held in Canada's National Film Board Archives; all but three of early master Victor Sjöström's silent Swedish works; and an unknown number of unique titles held by the Cinematheque Française."¹ Nitrate fires have thus taken unique heritage materials that document not only the mass media of cinema, but also the major historical events and the more quotidian moments of the first half of the 20th century. Anecdotal accounts attest to other victims of nitrate fires: manuscripts and museum materials that were caught in the flames, or damaged indirectly by sprinkler systems.

Like all cellulose nitrate products, nitrate film readily decomposes under ambient conditions. Almost inevitably, the complicated chemical structure of cellulose nitrate starts to break down in a seemingly autocatalytic reaction. Nitrate decomposition has almost certainly claimed more unique materials than nitrate fires, but it is sometimes difficult to separate the two factors, since chemical decomposition increases fire risk. As cellulose nitrate decays, its autoignition temperature—the temperature at which it will spontaneously ignite—drops dramatically. Recorded temperatures as low as 41.1 °C (106°F) have spontaneously ignited nitrate roll film,² and it is possible that the autoignition temperatures may dip even lower in uncontrolled settings.

¹ Heather Heckman, "Burn After Viewing, or, Fire in the Vaults: Nitrate Decomposition and Combustibility," *The American Archivist* 73 (Fall/Winter 2010): 484.

² James W. Cummings, Alvin C. Hutton, and Howard Silfin, "Spontaneous Ignition of Decomposing Cellulose Nitrate Film," *Journal of the Society of Motion Picture and Television Engineers* 54 (March 1950): 269-270.

In the 1970s and 1980s, the combined persuasive force of the threats to both human safety and image stability culminated in the "Nitrate Won't Wait" campaign, a large-scale effort to migrate all flammable film to "nonflam," safety stock. Unfortunately, "nonflam" meant cellulose acetate. Cellulose acetate-base film poses less of a fire risk; however, it decays even more readily than nitrate-base film. In the early 2000s, scientists at the Image Permanence Institute (IPI) estimated that the average lifespan of cellulose acetate film was consistently a very low 40 years.³ Although the IPI found a great degree of variation among nitrate samples, some of the tested nitrate was determined to be more stable than the tested triacetate, with predicted lifespans ranging from 50 to 600 years.⁴ And even before the IPI findings were disseminated, the "Nitrate Won't Wait" idea was being undermined by its own urgency. Film processing labs had only recently gotten into the business of preservation copying, and they were rushed to produce acetate duplicates of nitrate originals. Too often, the results were of inferior quality. What's more, preservation copying is both costly and labor-intensive, rendering it an inefficient means of preservation, copying proved to be a poor solution.

A better understanding of the risks and elevated costs associated with migration led many moving image archivists to abandon the central thrust of the "Nitrate Won't Wait" movement. Most major American film archives disavowed copy-and-destroy policies, seeking instead to preserve nitrate films over the long term in temperature- and humidity-controlled vaults. Many smaller institutions were never able to afford nitrate copying in the first place, thereby defaulting to the same long-term preservation strategy. Of course, this continued presence of a hazardous material in film collections translates into substantial expenses as well. It is costly to purchase NFPA-compliant storage cabinets and vaults, so costly that many smaller institutions never do. But because of its hazardous material (HAZMAT) designation, nitrate film is difficult to ship or to dispose of safely. The problems of transportation and disposal are so acute that a National Park Service *Conserve O Gram* prompts government employees to exploit a loophole allowing unregulated transport of nitrate film by government workers in government vehicles. The *Conserve O Gram* cautions, however, that the driver should neither leave the car parked in the sun too long, nor run its heater "excessively."⁵

To make matters worse, there are gaping discrepancies in the standard sources describing nitrate decomposition and combustibility. The 1950 Cummings *et al.* study establishing 41.1°C as a sufficiently elevated temperature to ignite decomposed roll film also put forward the idea that nitrate decomposition is a five-step process:

[I]n the first stage of deterioration the photographic portion usually shows an amber discoloration with fading of the picture image. In the second stage, the emulsion becomes adhesive and the film convolutions tend to stick together during unrolling. Rolls of third-stage film have annular portions which are soft, contain gas bubbles, and emit a noxious odor easily recognizable. In the fourth stage of deterioration, the entire film is soft, its

³ P. Z. Adelstein, J. M. Reilly, and F. G. Emmings, "Stability of Photographic Film: Part VI, Long-term Aging Studies," *SMPTE Journal* 111, no. 4 (April 2002): 142-143.

⁴ P. Z. Adelstein et al., "Stability of Cellulose Ester Base Photographic Film: Part IV--Behavior of Nitrate Base Film," *SMPTE Journal* 104 (June 1995): 369.

⁵ National Park Service, "Handling and Shipping Cellulose Nitrate Film," Conserve O Gram, June 2003, 1.

convolutions welded into a single mass and frequently its surface is covered with a viscous froth. A strong noxious odor is given off, unmistakable to inspection personnel when once identified. In the fifth and final stage, the film mass degenerates partially or entirely into a brownish acrid powder.⁶

Cummings and his colleagues linked lowered ignition thresholds to decomposition, attesting that the first roll to ignite was in "an advanced stage of deterioration," and that no film "in good condition has self-ignited."⁷ Implicitly, the study seemed to argue that ignition thresholds continue to drop as decomposition advances, a generally upheld view in contemporary sources on nitrate decomposition (most of which also reproduce a version of the Cummings et al. fivestage process⁸).

The Kodak material safety data sheet (MSDS) for nitrate roll film, however, suggests that the relationship between decay and ignition threshold is exponential rather than arithmetic. According to the MSDS, the final, brown powder stage is "shock sensitive"-that is, prone to combust at either low temperatures or on impact.⁹ The International Standard, ISO 10356-*Cinematographv—Storage and Handling of Nitrate Motion Picture Films*, meanwhile, contends just the opposite, stating that nitrate fire risk drops in the final stages of decay: "By the time the film reaches category e) [stage 5] it has lost virtually all of its nitrate groups, and its flammability has then been reduced to approximately that of the cellulose itself, i.e. comparable to the flammability of paper."¹⁰ Complicating the issue still further, a 1990 paper on nitrate decay found that the brown powder contained both nitrogen and oxygen. The authors hypothesized that the substance was produced in a reaction between nitrate-base film and metal storage cans containing iron,¹¹ suggesting, perhaps, that there might be multiple brown powder substances with different chemical compositions, or that brown powder might not be the final stage of all cellulose nitrate decomposition reactions.

Can temperatures below the recognized 41°C threshold start nitrate burning? Does the autoignition temperature continue to drop as film decays? Is the fire risk posed by the brown powder akin to that posed by gunpowder or to that posed by a standard manuscripts collection? Could the formation of the brown powder be prevented entirely by storage in iron-free cans? The answers are not at all clear. Very little published research on cellulose nitrate motion picture decay has appeared in the past decade, and few of the recommendations advanced in the early 1990s have been tested or implemented.

⁶ Cummings, Hutton, and Silfin, "Spontaneous Ignition of Decomposing Cellulose Nitrate Film," 271-272. ⁷ Ibid., 269-270.

⁸ See, for example, *The Film Preservation Guide: The Basics for Archives, Libraries, and Museums* (San Francisco: National Film Preservation Foundation, 2004), 16; "Kodak Cellulose Nitrate Film (discontinued 1952) Material Safety Data Sheet" (Eastman Kodak Company, 2003), 1; ISO 10356--Cinematography--Storage and Handling of Nitrate-Base Motion-Picture Films, 1st ed. (Geneva: International Organization for Standardization, 1996), Annex B; "Handling, Storage and Transport of Cellulose Nitrate Film" (International Federation of Film Archives, 1991), 9; Eileen Bowser and John Kuiper, A Handbook for Film Archives (New York: Garland, 1991), 18-19; Jean-Louis Bigourdan, "From the Nitrate Experience to New Preservation Strategies," in This Film is Dangerous; A Celebration of Nitrate Film, ed. Roger Smither and Catherine A. Surowiec (Brussels: FIAF, 2002), 53.

⁹ "Kodak Cellulose Nitrate Film (discontinued 1952) Material Safety Data Sheet," 1.

¹⁰ ISO 10356--Cinematography--Storage and Handling of Nitrate-Base Motion-Picture Films, Annex B.

¹¹ M. Edge et al., "Mechanisms of Deterioration in Cellulose Nitrate Base Archival Cinematographic Film," European Polymer Journal 26, no. 6 (1990): 629-630.

Worse, virtually no English-language published research on the fire risk posed by cellulose nitrate picture decay has appeared in the last half-century. With the notable exception of a Canadian Explosives Research Laboratory investigation into cold storage explosive venting,¹² widely disseminated investigations into nitrate fire safety ended with the arrival of triacetate film, coincident with the publication by Cummings *et al.* In the meantime, heritage nitrate films in collections around the world have continued to age. The oldest possible film Cummings *et al.* could have tested would have been roughly 55 years old (and it is unlikely any of their test material dated to the 1890s); today, the youngest possible film in archival nitrate holdings is at least 60 years old (and some of the most valuable are considerably older).

There are several reasons for the paucity of physical data about nitrate motion picture film. First and foremost, nitrate film is a discontinued product. Chemical manufacturers such as Kodak and DuPont eliminated their risk associated with this product by ceasing its production. Nitrate is no longer used in the contemporary film industry, in either production or exhibition. From the 1890s to the 1950s, the fire risks associated with nitrate put audiences at risk; today, nitrate films pose a much greater threat to cultural objects than to human beings.

Second, there are intellectual barriers between the archival, safety, and chemical communities. To archivists, "cellulose nitrate decomposition" means slow chemical breakdown in ambient conditions; to safety engineers, the first meaning of the same phrase is almost certainly rapid chemical breakdown during a fire. The technical language spoken by chemists presents an even greater hurdle for many archivists, few of whom have strong backgrounds in the hard sciences. Most archivists would be uncomfortable extrapolating best practices from existing scientific research or initiating fruitful collaborations for new scientific research.

There are also communication barriers between archival institutions. While nitrate motion picture film has its own folklore in the profession, very few archivists are charged with caring exclusively for nitrate film. Between the recognized liabilities associated with nitrate film, and the conflicting information surrounding it, non-specialists experience justifiable anxiety about nitrate. Meanwhile, investigations into nitrate fires and fire risk are often kept internal. Results are diffused through a grapevine that may amplify uncertainty, especially for smaller institutions.

Finally, and probably most importantly, samples for nitrate chemical safety research are rarely available. Safety research means destructive testing, a clear violation of basic principles of moving image stewardship. The inevitable loss of quality due to nitrate decay on the one hand, and analog migration on the other, means that all nitrate materials are treated as unique—and of course, many also literally are.

The fact that nitrate film is no longer made is inescapable. The tendency for film archivists to get humanities, rather than rigorous scientific, training is unavoidable in at least the medium-term. However, projects like this one—that aim to produce widely disseminated, fully transparent results that can be readily understood by non-technical audiences—may ameliorate communication barriers in the short-term. Most importantly, this project presents an exceptional

¹² Greg Hill, "New Nitrate Film Storage for Library and Archives Canada," *Topics in Photographic Preservation* 10 (2003): 74-85.

opportunity, because it has already secured a sample of heritage nitrate roll films in varying states of decay and from varying original sources. In a field in which small sample sizes have represented an impediment to a complete understanding of the inherent instabilities and ideal means of preservation of nitrate films, we cannot pass up opportunities to generate more data.

The film medium was also a nitrate medium for a long time—from the dawn of cinema, through the First and Second World Wars, the Roaring Twenties, and the Great Depression, right up to the atomic age and the collapse of the Hollywood Studio System. The imperative to preserve this rich corpus of moving images is now to store them for the foreseeable long-term. However, we are still struggling to properly evaluate the related dangers of fire risk and decay in order to safely handle and store nitrate film collections. It is therefore vitally important that we seize this chance to enrich our empirical understanding of nitrate film decomposition, rather than continuing to rely on anecdotal wisdom.

Although motion picture nitrate film presents a unique set of preservation challenges, greater knowledge about its decay and flammability characteristics will enhance our understanding of other nitrate materials, as well. Still photographic film is considered somewhat less of a risk, because it is usually stored in flat sheets, rather than in rolls. According to Douglas Nishimura of the IPI, it has also been demonstrated to burn less readily in laboratory settings.¹³ But it shares much in common chemically with motion picture film, and it is now regulated under the same NFPA standard. Changes in the way we assess the risk posed by motion picture nitrate collections. Conservators who work with cellulose nitrate objects, meanwhile, will find methodological and theoretical value in our project, even if the precise results cannot be generalized to the collections in their care.

If funded, this project will not only produce valuable data, it will also serve as a model for continuing collaboration between archivists, conservators, and chemists. It will further our understanding of both the fire risk posed by heritage nitrate motion picture film and the underlying chemistry governing cellulose nitrate decomposition. It will generate improved best practice guidelines for the safe handling and long-term storage of nitrate film, culminating in the publication of a white paper and the submission of proposed amendments to update and unify *NFPA 40, Standard for the Storage and Handling of Cellulose Nitrate Film* and *ISO 10356—Cinematography—Storage and handling of nitrate-base motion picture films*. It will arm institutions with better information for benefit-cost analyses about cellulose nitrate holdings, and will help to eliminate contradictory information circulating in current standards.

Background of Applicant

The Wisconsin Center for Film & Theater Research and the Mahanthappa Research Group in the Chemistry Department of the University of Wisconsin-Madison are sponsoring the project, under the supervision of Vance Kepley (Professor of Communication Arts, Director of the Wisconsin Center for Film & Theater Research) and Mahesh Mahanthappa (Assistant Professor of Chemistry). The Wisconsin Center for Film & Theater Research and the Mahanthappa Lab have

¹³ D Nishimura to Heather Heckman, "RE: NEH Grant Advisory Board", April 11, 2011.

also partnered with the Wisconsin Historical Society, which will donate films and the expertise of Preservation Coordinator Kathleen Mullen.

Project Co-Sponsors

The Wisconsin Center for Film & Theater Research (WCFTR) houses one of the oldest and most extensive collections of print, audio-visual, and graphic materials relating to film, theater, radio and television in the United States. The WCFTR is administered by the Communication Arts (Comm Arts) Department of the University of Wisconsin-Madison (UW) and works in close cooperation with the Wisconsin Historical Society (WHS). It is an associate member of the International Federation of Film Archives (FIAF).

As arguably the first American archive to actively collect not just the works, but also the records, produced by the mass media industry, the WCFTR is home to diverse materials related to film, theater, and broadcasting. WCFTR collections include fifteen thousand motion pictures, television shows and videotapes; two million still photographs and promotional graphics; several thousand sound recordings; the corporate records of the National Broadcasting Company (NBC) and United Artists (UA); and more than three hundred personal manuscripts collections. Holdings focus on US entertainment-based media, though it also has smaller collections in social action documentary and non-US film, notably Hong Kong, Taiwanese and Soviet cinema.

Since its founding in 1960, the WCFTR has cared for a small, but significant, collection of nitrate films. The WCFTR's nitrate collection dates from the 1910s to the late 1940s, and includes unique silent fragments, World War II public service announcements, shorts from the Hal Roach studio, early 35mm sponsored films, and Ed Sullivan's "Famous First." In addition, the WCFTR curates a second small, but significant, cache of nitrate film: amateur and state-produced 35mm footage owned by the Wisconsin Historical Society.

The mid-range status of the WCFTR when it comes to nitrate collecting has placed it between major American film archives, like the Library of Congress and the George Eastman House, on the one hand, and non-film specialized institutions, like other state historical societies, on the other. This awkward position has made the WCFTR especially sensitive to the "nitrate problem." Historically too small to afford massive investment in fully-compliant vaults, yet too large to continue to risk storage without them, the WCFTR has a particularly strong interest in generating better information for risk analysis. And because it has some understanding of the different challenges posed to large and small nitrate collecting institutions, it is uniquely positioned to confront the issues of nitrate decay and flammability from the archival perspective.

Perhaps most importantly, the WCFTR is an initiative of the UW Comm Arts Department, which means it is associated not just with an acclaimed Film Studies program, known particularly for its historical approach to cinema, but also with one of the world's preeminent research universities. Among American public universities, UW ranks 2nd in overall research expenditures, 4th in federally funded research, and 2nd in non-federally funded research. It is home to more than 2,000 faculty members, who together have won more prestigious awards and grants than the faculty of any other US public university.

The UW Chemistry Department is held in particularly high regard. Consistently ranked among the best American chemistry programs (public or private), the UW Chemistry Department gives graduate degrees in materials, analytical, organic, and physical chemistry. It maintains worldclass core facilities for chemical characterization; those most relevant to this project are nuclear magnetic resonance spectroscopy, mass spectrometry, infrared spectroscopy, polymeric materials characterization, size-exclusion chromatography, tensile testing, and electron microscopy. Sponsorship from the UW Chemistry Department ensures equipment and expertise of the highest caliber, established relationships with analytical services providers, and sound chemical and analytical methodologies for understanding the "Nitrate Problem."

The Mahanthappa Research Group specializes in soft materials science, specifically, and the synthesis and physical characterization of new polymeric materials. The Group has two complementary skill sets: the ability to develop and to exploit new synthetic methods to produce new molecular structures with precise control over structure, functional group placement, and monomer sequence; and the ability to physically characterize materials as ensembles of molecules to effectively evaluate their morphology, properties, and ultimate utility in applications. In other words, the Mahanthappa Group is capable of synthesizing and completely characterizing complex polymers, both chemically and as bulk materials.

The Mahanthappa Group has access to facilities for infrared spectroscopy, mass spectrometry, ¹H nuclear magnetic resonance, pH measurement, differential scanning calorimetry (DSC), intrinsic viscosity tests, and thermogravimetric analysis (TGA) (see also *Appendix A: Facilities Statement*). With paid services of the UW Chemistry Department Machine Shop, they can easily assemble the environmental chambers necessary for the accelerated aging tests proposed below. Therefore, the great majority of the experimental methods required for the project will be conducted on site under the careful supervision of qualified project staff.

Project Partners

The Wisconsin Historical Society (WHS) is both a state agency and a private membership organization. Founded in 1846, two years before statehood, and chartered in 1853, it is the oldest American historical society to receive continuous public funding. By statute, it is charged with collecting, advancing, and disseminating knowledge of Wisconsin and of the trans-Allegheny West. The Library–Archives division supports the mission of the Historical Society by acquiring, preserving, and providing access to an immense collection of published and unpublished material documenting the history of North America, second only to the Library of Congress. The Archives provide access to collections of unpublished materials about the history of Wisconsin and a wide array of topics related to North American history. These collections include letters, diaries, organization records, state and local government records, oral histories—and, of course, photographs and films in all sizes and formats, from glass plate to paper, and from nitrate to polyester.

The WHS cooperated with the Department of Communication Arts (at that time, the Department of Speech and Theater) to form the Wisconsin Center for Film and Theater Research. In a long-standing agreement, the WCFTR has been charged with curating and providing access to WHS motion picture collections, while the WHS has been charged with curating and providing access

to WCFTR manuscripts collections. The WHS provides storage space for the collections, offices for the WCFTR's archivists, and access to WCFTR collections through the Archives Research Room on the 4th floor of the historic Wisconsin Historical Society building. The WHS also provides conservation services for the WCFTR. WHS conservators repair damaged items owned by the WCFTR, and consult with WCFTR staff about long-term storage facilities and equipment.

History, Scope, and Duration

This project was born when Heather Heckman, a Ph.D. student in the University of Wisconsin's Department of Communication Arts and an Archives Assistant at the WCFTR and the WHS, attended a Nitrate Film Committee meeting at the 2008 Association of Moving Image Archivists (AMIA) conference. She agreed to undertake a research project for the Committee, checking the footnotes used in manuals and standards on nitrate handling. The Committee was particularly concerned with the description of the brown powder as "shock sensitive" in the Kodak MSDS. From their own anecdotal experience, they felt strongly that the powder did not combust on impact, and found that the phrase, "shock sensitive," significantly increased the difficulty of shipping nitrate materials.

Heckman published her results in the Fall/Winter 2010 issue of the *American Archivist*. Using the citation tracking methodology, she was unable to find sound evidence that the brown powder either was or was not shock sensitive. Instead, Heckman argued that the risk posed by heritage nitrate films could not be reliably established from the literature she reviewed, and noted that empirical work on nitrate film decay published in hard science journals was rarely cited in archival or safety sources. In the end, she was only able to conclude, "the dialogue among the archival, safety, and scientific communities is inadequate and … no community has satisfactorily established the evolution of flammability as nitrate decomposes."¹⁴ At the end of her piece, she called for increased collaboration between practicing archivists and chemists, including the donation of research samples, and for the publication of "updated white papers on nitrate conservation."¹⁵ She continues to collect citations about nitrate motion picture film, expanding the bibliography she initially generated for her research paper (see *Appendix H: Preliminary Bibliography*).

That same fall, the WCFTR and the WHS began to re-appraise their nitrate holdings. Their staff found anecdotal support for the Edge *et al.* claim that brown powder forms when iron is present. Films stored in plastic cans, or wound on flimsy metal (presumably aluminum) reels did not seem to have decomposed into brown powder. Films wound onto heavy metal reels (presumably steel) tended to be in the worst condition, often with large deposits of brown powder along the film edge where it was in direct contact with the metal. External deposits did not necessarily correlate with poor image quality once the film was unwound, as a five-stage model culminating with the brown powder might seem to suggest.

A first round of review and deaccessioning took place in the fall of 2010. During a second round, in the spring of 2011, conservation staff at the WHS and the WCFTR agreed to donate deaccessioned films to science, rather than to immediately dispose of them. Conservation staff

¹⁴ Heckman, "Burn After Viewing," 486.

¹⁵ Ibid., 505.

also saved brown powder that was cleaned from the films during the reappraisal project. All of the spring 2011 material slated for deaccessioning and disposal was returned to WHS freezers, so that it might be used for research purposes. The WHS Appraisal Committee has approved all deaccessioning candidates, independent of the proposed research project.

However, the WHS and the WCFTR share an active plan to relocate their nitrate holdings to a more secure location over the course of the next two years. Access copies of their most valuable nitrate works will be made, and then all their combined nitrate holdings will be placed on deposit in compliant vaults. At the end of this two-year period, all deaccessioned films still in the WHS freezers will be safely destroyed. The window of opportunity for this research project is therefore very brief.

This project has not been previously supported by the NEH, and the research team anticipates that a three-year study period should shed sufficient chemical insight into the safety issues involved in nitrate storage and handling per the *Work Plan* and *Dissemination* sections below.

Methodology and Standards

This project investigates the veracity of the following theoretical model of cellulose nitrate film decomposition, proposed by Louvet *et al.* in 1995: Cellulose nitrate film decomposition initially attacks the nitrate groups added to cellulose in the nitration process. Nitrogen-oxygen bonds in the nitrate groups are readily cleaved by even slightly elevated temperature and humidity levels, in a reaction that initially releases nitrogen dioxide (NO₂). In turn, NO₂ reacts with moisture in a series of steps to form nitric oxide (NO), which attacks the original cellulose nitrate material, breaking more hydrogen-oxygen bonds in an autocatalytic, secondary decomposition reaction. NO further reacts with the silver in the emulsion, bleaching and fading the image.¹⁶

The project also investigates Edge *et al.*'s 1990 hypotheses about the role of iron in cellulose nitrate decomposition: Iron attacks the bonds in the molecule's cellulosic backbone. Iron reacts with the nitrogen byproducts of the primary decomposition reaction, generating basic compounds that attack the nitrogen-oxygen bonds of nitrate groups in another autocatalytic reaction. Iron-catalyzed reactions lead to the production of a highly basic brown powder substance, consisting "largely of NO … with the presence of moisture," and some iron.¹⁷

However, since the primary goal of this project is to generate practice-centered results, we particularly focus on the confirmation or refutation of the following five practical hypotheses:

- Visual classification into the "five stages" of nitrate decay correlates with relative measured severity of decay.
- Heritage nitrate film burns equally as readily and as intensely as newly manufactured nitrate film did.
- Nitrate film's autoignition temperature declines steadily as nitrate film decays.

¹⁶ A. Louvet, B. Lavadrine, and F. Flieder, "Size Exclusion Chromatography and Mass Spectrometry of Photographic Bases in Cellulose Nitrate Degradation," *The Journal of Photographic Science* 43 (1995): 30-35.

¹⁷ Edge et al., "Mechanisms of Deterioration," 628.

- Re-housing nitrate films in iron-free storage containers arrests the production of the brown powder substance.
- The brown powder substance is shock-sensitive.

Standard experimental and analytical methods will be used to test all of our hypotheses, and standard statistical methods will be used to analyze all of our results.

Samples will be drawn from heritage nitrate film donated to the project. The WCFTR will provide portions of 5 silent compilation reels in very poor condition. Fragments that could be unwound from the reels have been re-housed and will remain in the WCFTR's collections; the remaining film, fused into a sticky mass at the center of the reel, will be given to the project. Brown powder samples from each of these reels have also been collected for the project. The WHS will donate at least 7 rolls of nitrate footage in varying physical conditions. As of March 2011, 4 of the WHS rolls (3 of which were reels of the same film) were in good condition, showing no visible signs of decay. 3 rolls were in poorer condition, suffering partially from emulsion bleaching, base stickiness, and blistering. None of the WHS rolls had fused into a single mass. Samples of the brown powder were also collected from the WHS holdings. The WCFTR and WHS donations alone give the project a minimum of 11 films and 5 brown powder deposits from which to collect experimental samples. This sample size is small, but it compares favorably to previous investigations of nitrate decay, which had access to only 4¹⁸ or 5 films.¹⁹ If this project is funded, we will also solicit additional donations of film material. The Indiana State Archives has already given 1 roll of film in poor condition and an associated brown powder sample to the project.

To evaluate the efficacy of the five-stage model of decay, we will rate the decay of all samples following the inspection method used in the field along with four separate quantitative methods. All of our samples will be classified independently by at least three trained archivists according to the simplified five-stage model published in *The Film Preservation Guide*:

- 1. Image fading. Brownish discoloration of emulsion. Faint noxious odor.
- 2. Sticky emulsion. Faint noxious odor.
- 3. Emulsion softens and blisters with gas bubbles. More pungent odor.
- 4. Film congeals into a solid mass. Strong noxious odor.
- 5. Film disintegrates into brownish powder.²⁰

Films showing no signs of decay will be rated 0. Then, following Derrick *et al.*,²¹ we will use both elemental analysis to measure the carbon, hydrogen, and nitrogen contents of each sample *and* infrared spectroscopy to look for signs of decay, including the accumulation of salts, and the depletion of plasticizing agents like camphor. We will also test the acidity of all our samples,

¹⁸ Ibid., 634.

 ¹⁹ Adelstein et al., "Stability of Cellulose Ester Base Photographic Film: Part IV--Behavior of Nitrate Base Film,"
 359.

²⁰ *The Film Preservation Guide*, 16.

²¹ Michele Derrick, Vinod Daniel, and Andrew Parker, "Evaluation of Storage and Display Conditions for Cellulose Nitrate Objects," in *Preventive Conservation: Practice, Theory and Research. Preprints of the Contributions to the Ottawa Congress, 12-16 September 1994* (International Institute for Conservation of Historic and Artistic Works, 1994), 207-211.

following the method endorsed by the Image Permanence Institute (IPI). With the possible exception of the brown powder, nitrate decomposition produces very acidic compounds. Acidity tests have therefore been shown to measure degradation accurately and quickly before other standard tests (e.g., tensile properties, wet emulsion strength, visual inspection). The IPI particularly recommends the water-leach method for its high levels of precision and reproducibility.²² In the water-leach test for nitrate film, a 1-gram sample is submerged in 38°C water for 24 hours. During this time, the acid leaves (or leaches from) the film sample. After leaching, the film is removed and the pH level of the water is measured. Finally, we will test the intrinsic viscosity of the samples. Results from all four measures will be compared to the visual classifications in an effort to quantify the efficacy of the five-stage model already in use in the field.

To determine the flammability of our heritage nitrate samples, we will analyze them by differential scanning calorimetry (DSC) and thermogravimetric analysis (TGA). Specimens collected from each of the films and from the brown powder deposits will be analyzed by DSC to assess any thermal transitions (melting or decomposition temperatures) under an inert atmosphere (typically, nitrogen). TGA analysis involves heating samples under ambient conditions to assess their decomposition under air. Both DSC and TGA analyses employ very small samples (~ 3-5 mg), rendering them low-risk methods for quantifying hazards such as rapid combustion or explosive detonation. The DSC and TGA results will be compared to the hazard ratings given in the Kodak MSDS and other safety sources.

Autoignition temperatures for the samples tested can be derived from the DSC and TGA analyses. Therefore, this same set of tests will help us to correlate autoignition temperature and level of decay. All DSC/TGA samples will also be tested for decomposition using the visual classification, elemental analysis, infrared spectroscopy, pH and intrinsic viscosity methods described above. We will seek correlations between the autoignition temperature and decomposition rate data sets.

To test the hypotheses by Edge *et al.* regarding the formation of the brown powder, and to determine whether re-housing nitrate films in metal-free containers will arrest its formation, we will adapt the methodology developed by Derrick *et al.* for accelerated aging of nitrate stocks.²³ We will start by collecting film can samples, including Department of Transportation approved metal cans, heritage cans with significant iron content, and plastic cans. The composition of the cans will be analyzed with spectroscopy. Next we will collect small specimens from each of our sample films. Residual powder will be wiped from all specimens, which will be divided into vials lined with can samples. A control group will be placed into unmodified vials.

The vials will be divided into four environmental chambers. The first chamber will replicate standard freezer conditions (-20°C); the second chamber will replicate ISO recommendations for nitrate film storage, with cold temperatures and low relative humidity (4°C; 30-50% RH); the third chamber will accelerate aging with elevated temperatures (50°C; 30-50% RH); and, the fourth chamber will accelerate aging with elevated temperatures and high humidity (50°C; 80-

²² P. Z. Adelstein et al., "Stability of Cellulose Ester Base Photographic Film: Part III, Measurement of Film Degradation," *SMPTE Journal* 104, no. 5 (1995): 281–291.

²³ Derrick, Daniel, and Parker, "Evaluation of Storage and Display Conditions for Cellulose Nitrate Objects."

90% RH). Like Derrick *et al.*, we are using relatively low temperatures; however, we will extend the trial considerably longer, from 75 days to 360 days (or approximately 1 year). Samples from each group in each chamber will be removed at the following intervals: 0 days, 15 days, 30 days, 60 days, 90 days, 180 days, and 360 days. The film material will be checked for relative degradation with visual classification, elemental analysis, infrared spectroscopy, pH, and intrinsic viscosity tests. Any brown powder produced will be weighed and subjected to elemental analysis in order to learn its chemical composition, particularly whether or not it contains any metals that could serve as catalysts for brown powder formation or combustion. As a control for the small size of the vial specimens, we will distribute remaining roll film between the chambers. After the full 360 days, the roll film will be analyzed using the same methods described above.

Finally, to check for impact sensitivity of the brown powder, we will supplement the DSC analyses of brown powder samples from the field and from the accelerated aging trials with spectroscopic analyses (infrared and ¹H nuclear magnetic resonance), the BAM Fallhammer Test of Impact Sensitivity, and the BAM Friction Test of Friction Sensitivity. First, on site, we will try to replicate results of Edge et al. with infrared spectroscopy supplemented by ¹H nuclear magnetic resonance, to see if our brown powder samples also appear to consist primarily of nitrogen and oxygen with some iron. Additionally, we will send samples to Columbia Analytical Services for analysis with inductively coupled plasma atomic emission spectroscopy (ICP-AES). ICP-AES is very sensitive to the presence of trace metals (parts per million levels), allowing us to better judge whether metals are present, and if so, how they contribute to (or conversely diminish) safety risks. Finally, we will send samples to Chilworth Global, a safety services firm, to test for impact and friction sensitivity. To test for impact sensitivity, samples will be subjected to impact from a falling weight using the BAM Fallhammer method. To test for friction sensitivity, samples spread on a porcelain plate will be dragged beneath a weighted porcelain peg following the BAM Friction method. The Fallhammer test looks for the lowest *energy* at which a flash, flame or explosion can be observed; the Friction test looks for the lowest *force* at which a flash, flame or explosion can be observed. Taken together, all of these tests should greatly enrich our understanding of the brown powder's chemical composition, and of the safety risk it poses.

During the ongoing laboratory experiments, we will continue to work on the literature review project initiated by Heckman. Historical sources about nitrate film and interviews with nitrate archivists will supplement the empirical work.

In addition to producing a white paper translating our results into terms readily understood by humanities-trained archival professionals, this project will propose amendments to *NFPA 40, Standard for the Storage and Handling of Cellulose Nitrate Film*, and *ISO 10356—Cinematography—Storage and handling of nitrate-base motion picture films*. An advisory board composed of representatives will review our experimental progress and all the written material we generate, with a special focus on amendments to the standards.

The members of our advisory board are (see also *Appendix C: Advisory Board Commitment Letters and Résumés*):

Douglas Nishimura, Image Permanence Institute Mike Pogorzelski, Academy Film Archive Ed Stratmann, George Eastman House

Work Plan

Project activities will be divided into four groups: a laboratory group, a history group, a conservation group, and a dissemination group.

Activities in the laboratory group will be led by Mahesh Mahanthappa, with assistance from the Chemistry RA in collaboration with the Comm Arts RA. Activities in the historical group will be led by Vance Kepley, with assistance from the Comm Arts RA. Activities in the conservation group will be led by Maxine Ducey, with assistance from Kathleen Mullen and the Comm Arts RA. All staff will contribute to activities in the dissemination group.

All staff will meet in January 2012 to discuss project goals, and to finalize plans for each activity area. Objectives for each phase of investigation will be set, and a regular schedule of staff meetings will be established. Minutes for this and all subsequent meetings will be sent to the advisory board for feedback.

Phase 1: Preparation (3 months)

The conservation group will begin by soliciting additional sample films and cans from institutions with nitrate film holdings. Beginning with the on-site samples (and continuing with any additional donated samples), the conservation group will photograph and catalog each roll of film, noting physical condition, manufacturer names, edge codes, and dates of manufacturing. Unique identifiers will be assigned to each film, each can, and each brown powder sample. Any accumulated brown powder will be physically segregated from the films, but intellectually linked in the project catalog. Cans will be photographed and cataloged, as well.

The laboratory group will begin by finalizing experimental design. It will order necessary supplies, modify and calibrate equipment, and secure contracts for outsourced analyses. As the conservation group finishes with cataloging and photographing the donated film samples, the laboratory group will prepare experimental specimens. Experimental specimens will be assigned unique identifiers linked to those in the conservation catalog.

The history group will finalize the project bibliography and begin to compile sources. It will create a list of interview subjects and questions.

The advisory group will review staff meeting minutes, experimental designs, and the bibliography.

Rough timeline:

• January 2012: experiment design finalized by Mahesh Mahanthappa and the Chemistry RA, and approved by members of the advisory board

- January-March 2012: films and cans collected, inspected, photographed and cataloged by the Comm Arts RA under the supervision of Maxine Ducey and Kathleen Mullen
- January-March 2012: bibliography compiled by the Comm Arts RA under the supervision of Vance Kepley
- January-March 2012: interview questions written and interview subjects identified by the Comm Arts RA under the supervision of Vance Kepley
- February 2012: supplies ordered, equipment prepared, and contracts confirmed by Mahesh Mahanthappa and the Chemistry RA
- March 2012: specimens prepared by the Chemistry RA under the supervision of Mahesh Mahanthappa, and with assistance as needed from the Comm Arts RA

Phase 2: Research (1 year)

The laboratory group will implement the procedures described in the methods section, above. The 360-day accelerated aging test will be started. Organic elemental analysis, infrared spectroscopy, and pH tests will be repeated on aging test samples collected on days 0, 15, 30, 60, 90, 180, and 360. During the ongoing accelerated aging trial, the laboratory group will conduct DSC trials with film and brown powder specimens. They will also send brown powder specimens out for BAM Fallhammer, BAM Friction, and ICP-AES testing.

The conservation group will photograph and visually classify all post-experimental accelerated aging samples. At the end of the experimental phase, the conservation group will safely dispose of all materials.

The history group will conduct interviews, and compile and review all remaining sources listed in its bibliography.

The advisory board will review staff meeting minutes.

Rough timeline:

- April 2012-April 2013: accelerated aging tests for brown powder production
 - Environmental chambers monitored by the Chemistry graduate student RA under the supervision of Mahesh Mahanthappa, with assistance as necessary from the Comm Arts RA
 - Samples collected in early April, mid-April, early May, early June, early July, early October, and early April 2013
 - Independent visual classification by Maxine Ducey, Kathleen Mullen, and Comm Arts RA

- Photography by the Comm Arts RA, under the supervision of Maxine Ducey and Kathleen Mullen
- Organic elemental analysis, infrared spectroscopy, intrinsic viscosity, pH, DSC, and TGA tests by the Chemistry RA under the supervision of Mahesh Mahenthappa, with assistance as necessary from the Comm Arts RA
- April 2012-Apr 2013, impact sensitivity tests of brown powder samples collected from the field
 - Infrared spectroscopy and ¹H nuclear magnetic resonance by the Chemistry RA under the supervision of Mahesh Mahanthappa, with assistance as needed by the Comm Arts RA
 - Samples sent out for BAM Fallhammer, BAM Friction, and ICP-AES tests by the Chemistry RA under the supervision of Mahesh Mahanthappa
- April 2012-May 2012, impact sensitivity tests of any brown powder samples that have accumulated in the accelerated aging trials
 - Samples collected in early April, mid-April, early May, early June, early July, early October, and early April 2013
 - Infrared spectroscopy and ¹H nuclear magnetic resonance by the Chemistry RA under the supervision of Mahesh Mahanthappa, with assistance as needed by the Comm Arts RA
 - Samples sent out for BAM Fallhammer, BAM Friction, and ICP-AES tests by the Chemistry RA under the supervision of Mahesh Mahanthappa
- April 2012-April 2013, bibliographic sources obtained and reviewed by the Comm Arts RA and Vance Kepley
- April 2012-April 2013, interviews conducted and transcribed by the Comm Arts RA under the supervision of Vance Kepley

Phase 3: Analysis (1 year)

Analysis will overlap with research, starting before the completion of the experimental accelerated aging test.

The laboratory group will finish statistical analysis of all data collected during the experimentation phase.

The historical group will annotate its bibliographic entries.

The dissemination group will draft a white paper, amendments to *ISO 10356*, and a proposal for amendments and additions to *NFPA 40*. It will present results at conferences.

As relevant, groups will also collaborate on manuscripts for publication in their respective fields.

The advisory board will review staff meeting minutes, data sets, and drafts of all written materials.

Rough timeline:

- June 2012, results from the impact sensitivity tests of the brown powder samples collected from the field analyzed by Mahesh Mahanthappa and the Chemistry RA; reviewed by members of the advisory board
- June 2012-April 2013, bibliographic entries annotated by the Comm Arts RA, under the supervision of Vance Kepley
- July 2012, data from the first five sets of samples collected from the accelerated aging experiments (days 0, 15, 30, 60, and 90) analyzed by Mahesh Mahanthappa and the Chemistry RA; reviewed by members of the advisory board
- August 2012, preliminary results presented at the annual conference of the Society of American Archivists (SAA) by Maxine Ducey and other members of the dissemination group
- August-September 2012, proposal for amendments and additions to *NFPA 40* drafted by Mahesh Mahanthappa, Maxine Ducey, Kathleen Mullen, and the RAs; reviewed by members of the advisory board
- November 2012, proposal for amendments and additions to *NFPA 40* submitted for the 2014 two-year review cycle (deadline November 23)
- November 2012, preliminary results presented at the annual conference of the Association of Moving Image Archivists (AMIA) by Maxine Ducey and other members of the dissemination group
- May 2013, annotated bibliography reviewed by members of the advisory board
- June 2013, final data from the accelerated aging experiments (inclusive of days 180 and 360) analyzed by Mahesh Mahanthappa and the Chemistry RA; reviewed by members of the advisory board
- June 2013, preliminary results presented at the annual conference of the American Institute for Conservation of Historic and Artistic Works (AIC) by Kathleen Mullen and other members of the dissemination group
- May-June 2013, white paper, and *ISO & NFPA* amendments drafted by the RAs with input from the full dissemination group; reviewed by members of the advisory board

At the end June 2013, the RA positions will close. Dissemination of project deliverables will continue over the course of another year, through June 2014. See *Dissemination* below.

Dissemination

Wide dissemination of project results is imperative to the success of this project. Between June 2013 and June 2014, the dissemination group will continue to publicize the study results.

The project white paper will be completed, and made available for free download as a PDF on the AMIA Nitrate Film Committee page (www.amianet.org/groups/committees/nitrate). Its availability will be publicized in the AIC, SAA, and AMIA newsletters, and on their respective email listservs.

Amendments to ISO 10356 will be submitted to the International Standards Organization.

Staff will make second visits to all three major archival and preservation conferences—SAA, AMIA and AIC—to present final results and recommendations.

Results will be submitted for publication. Targeted journals will include *Journal of the American Institute for Conservation, Restaurator, The Moving Image, The American Archivist, Journal of Photochemistry and Photobiology,* and *International Journal of Polymer Science.*

All data and written material generated over the course of the project will be made available for free download through Minds@UW; see *Sustainability of Project Deliverables and Datasets*, below.

Sustainability of Project Deliverables and Datasets

Project staff are equally committed to transparency, and want to make the methodology used in the project, and the data it generates, easily accessible. All documentation of project methods and all data generated by the project will be preserved by the WCFTR. It will also be stored on Minds@UW, a UW-hosted digital collection for faculty research. Minds@UW is a University of Wisconsin Digital Collections (UWDC) project that provides a stable, scalable, and sustainable platform for the delivery and long-term management of digital content. Materials posted to Minds@UW feature permanent, unbreakable URLs, and indexing by Google, GoogleScholar, and other specialty academic search engines.

Staff

Vance Kepley is Professor in the UW Department of Communication Arts (Film Studies), serving his second, non-consecutive term as Director of the Wisconsin Center for Film & Theater Research. With four years experience as Chair of the Department of Communication Arts, he is also an able and efficient administrator. He is the author of two books and numerous articles on film history. He will give 10% of his time to the project.

Mahesh Mahanthappa is Assistant Professor of Chemistry at UW-Madison. His research interests span macromolecular chemistry and physics, evidenced by publications by his research group in journals such as *Macromolecules* and *Journal of Polymer Science*. He is particularly interested in bringing chemistry topics to a wider audience. He has already partnered with the Institute for Chemical Education and the National Science Digital Library on a project highlighting the social and political impacts of commodity plastics, and he is actively pursuing partnerships in art conservation. He will give 10% of his time to the project.

Maxine Ducey is Senior Special Librarian (Archivist) at the Wisconsin Center for Film & Theater Research. She has spent 30 years collecting, preserving, and providing access to the films of the WCFTR and the WHS. A founding member and one-time president of AMIA, Maxine closely follows developments in the field of moving image preservation. She will give 15% of her time to the project.

Kathleen Mullen is Director of Preservation at the Wisconsin Historical Society. She holds an MA in Conservation from the University of Texas, Austin, and has more than 8 years of experience in the conservation field. She is charged with preservation research and development for all materials in WHS collections.

Additionally, this project will fund two 50% Research Assistantships, one each in the Chemistry and Communication Arts Departments.

See also Appendix D: Staff Résumés and Position Announcements.

NATIONAL ENDOWMENT FOR THE HUMANITIES

Applicant Institution: University of Wisconsin-Madison Project Director: Vance Kepley Project Grant Period: 1/1/2012-6/30/2014

See Budget Instructions

See Budget Instructions			-					
	Computational	(notae)	Voar 1	(notee)	Voar 2	(notee)	Voar 3	Droiact Total
		11101001		110(03)	1 1 100 10	110100	1.1.001.1	
			1/1/2012 - 12/31/2012		1/1/2013 - 12/31/2013		1/1/2014 - 6/30/2014	
1. Salaries & Wages								
Project Director Vance Kepley	Annual salary: \$83,580	10%	\$8,358	10%	\$8,358	5%	\$4,179	\$20,895
Co-Project Director Mahesh Mahanthappa	Annual salary: \$70,685	10%	\$7,069	10%	\$7,069	5%	\$3,534	\$17,671
Maxine Ducey	Annual salary: \$65,983	15%	\$9,893	15%	\$9,893	7.5%	\$4,946	\$24,732
Communication Arts Dept. 50% Research Assistanship	Annual salary: \$20, 400	12 months	\$20,400 6 months	6 months	\$10,404 N/A	N/A		\$30,804
tesearch	Annual salary: \$20, 400	12 months	\$20,400 6 months	6 months	\$10,404 N/A	N/A		\$30,804
2. Fringe Benefits								
° Staff	Year 1: 44% of salary; Year 2: 44.5%; Year 3: 45%	12 months	\$11 141 12 months	12 months	\$11 267 6 months	6 monthe	<u> </u>	\$28 105
	Year 1: 27.3% of salarv: Year 2: 27.8%	12 months	\$11.138 6 months	6 months	\$5.785			\$16.923
								\$0
3. Consultant Fees								
								\$0
4. Travel								
								\$0

	Computational Details/Notes	(notes)	Year 1	(notes)	Year 2	(notes)	Year 3	Project Total
5. Supplies & Materials								
ensors	\$80 each	4 sensors	\$320					\$320
	\$500 each	4 chambers	Ś					\$2,000
Bench-Top Vacuum Ovens	\$3,500 each	2 ovens						\$7,000
6. Services								
Columbia Analyses	See Appendix F		\$33,900					\$33,900
Colutitiona Atlarytical Services Analyses	See Appendix G		\$4,250					\$4,250
7. Other Costs								
Graduate Students' Tuition	\$4,000 per student, per		\$ 000 9 F\$					£31 000
	selliestel	Z semester		1 semester	\$0,UUU			\$24,UUU
8. Total Direct Costs	Per Year		\$151,869		\$71,179		\$18,357	\$241,405
9. Total Indirect Costs	Per Year		\$68,613.79		\$31,905		\$9,270	\$109,789
Indirect Cost Calculation: a. Rate: 50.5% of direct cost per								
year. b. Federal Agency: NSF c. Date of Agreement: 7/1/11- 6/30/13								
10. Total Project Costs (Direct and Indirect costs for entire project)								\$351,194
11 Project Funding								
a. Requested from NEH	Outright:							\$213,632
	Matching Funds:							\$0

	Computational							
	Details/Notes	(notes) Year 1	Year 1	(notes) Year 2	Year 2	(notes) Year 3	Year 3	Project Total
	Total Requested from NEH:							\$213,632
	Applicant's							
b. Cost Sharing	Contributions:							\$137,562
	Third Party							
	Contributions:							\$0
	Project Income:							0\$
	Other Federal							
	Agencies:							\$0
	Total Cost Share:							\$137,562
12. Total Project Funding	D							\$351,194

Appendix A: Facilities Statement

FACILITIES, EQUIPMENT, AND OTHER RESOURCES

I. FACILITIES

A. Laboratory:

The Mahanthappa group has been allotted 1500 sq. ft. of renovated research space on the seventh floor of the Daniels wing of the Chemistry Building at UW-Madison. This space consists of one lab module furnished with four 6' fume hoods and associated bench-top workspace, cabinets, and chemical storage, one module furnished with four 6' fume hoods and one 6' fume hood with a low base for large equipment including high pressure reactors, and one module equipped with one hood and open floor plan to house instrumentation and large equipment (glovebox, solvent purification system, and gel permeation chromatograph). The laboratory is stocked with standard glassware and equipment for organic and polymer synthesis, including high vacuum manifolds associated with the handling of air- and water-sensitive compounds, along with two single station gloveboxes, a 4'x8' optical bench for light scattering equipment, solvent purification systems, a Carver melt-press for compression molding polymer samples at elevated temperatures, and a size-exclusion chromatography apparatus with refractive index, light scattering, and viscometry detectors for absolute molecular weight analyses.

B. Computer:

The Mahanthappa group is equipped with one Macintosh computer (iMac) and one Dell PC running up-to-date software for word processing, graphics, chemistry structure drawing, data manipulation, X-ray diffraction data analysis, and literature searching. All computers access the Internet through high-speed Ethernet connections and connect to a central laser printer located in one of the student offices. Additional resources are available through the Chemistry Department's Computing Facility, staffed by two full-time computing experts, who maintain three Linux clusters that render parallel computations possible using standard chemistry packages such as Gaussian, Spartan, Macromodel, and SYBYL/Base.

C. Office:

Office space for up to ten (10) students adjoins the PI's laboratory space in the Chemistry Building. All desks are equipped with Ethernet ports. The PI's office is located adjacent to the laboratory, including an outer office workspace equipped with whiteboard and meeting table for scientific meeting and discussions.

D. Instrumentation Services:

The Chemistry Department houses substantial departmental facilities including NMR and EPR spectroscopy, Mass Spectrometry, and X-ray crystallography, each of which are maintained by a staff of full-time Ph.D. scientists who assist in instrument training, experimental design and execution, and data analysis. The Department also maintains mechanical, electrical, and glassblowing shops in addition to a chemical stockroom for purchase of routine solvents and supplies.

II. EQUIPMENT

A. Major Equipment–Departmental:

Departmental instrumentation facilities are available for research use at nominal costs to the PI. Major instrumentation housed with the Chemistry Department includes:

• *NMR spectroscopy*: one Varian MercuryPlus 300 (with autosampler), two Bruker AC+ 300, one Bruker AVANCE 360, and two Varian INOVA 500 (equipped with magic-angle spinning nanoprobe), and one Varian INOVA 600 spectrometers.

• *X-ray crystallography*: one Bruker-AXS SMART APEX2 3-circle single crystal X-ray diffractometer (Cu Kα radiation) a Bruker-AXS SMART CCD-1000 3-circle diffractometer (Mo Kα radiation), and computer lab facilities available for data reduction.

• *Mass spectrometry*: one Bruker REFLEX II MALDI-TOF, one Bruke ULTRAFLEX III MALDI-TOF/TOF, one Micromass AutoSpec FAB/CI/EI, one Micromass LCT ESI-TOF-MS (LC/MS), and one Shimadzu LCMS-2010A ESI with single quadrupole analyzer, and a Shimadzu GCMS-QP2010S EI with single quadrupole analyzer.

• EPR Spectroscopy: Bruker EleXsys E500 CW X-band spectrometer (equipped with cryostat).

B. Major Equipment-Mahanthappa Group:

The Mahanthappa group houses two single-station gloveboxes, a rotary evaporator, vacuum and drying ovens, refrigerator/freezers for chemical storage, vacuum pumps, hot plate/stirrers, analytical balances, solvent purification systems for delivery of anhydrous and deoxygenated solvents (dichloromethane, cyclohexane, toluene, and tetrahydrofuran), a Carver melt-press for compression molding polymer samples at elevated temperatures, and a size-exclusion chromatography apparatus with refractive index, two-angle light scattering (7° and 90°), and four capillary viscometer detectors for absolute molecular weight analyses.

C. Major Equipment-UW MRSEC/NSEC Soft Materials Characterization Lab:

Using combined funds from the NSF MRSEC and NSF NSEC at UW-Madison, a Soft Materials Characterization Lab has been established and housed in the Department of Chemical & Biological Engineering (located two blocks from the Chemistry Building). These facilities are available for use under a cost recovery fee structure to pay for Ph.D. staff time and laboratory supplies (liquid nitrogen, etc.). Equipment within this core facility includes:

• *Differential Scanning Calorimeter* (DSC): A TA Instruments Q100 DSC equipped with autosampler, cooling system (temperature range -100 °C-725°C), and modulated DSC (MDSC) capabilities. Instrument precision is ± 0.05 °C with an accuracy of ± 0.1 °C.

• *Thermogravimetric analysis* (TGA): TA Instruments Q500 TGA with a temperature range from ambient to 1000 °C and high sensitivity (\pm 0.1 mg).

 Size Exclusion Chromatography (SEC): A Viscotek GPCMax Size Exclusion Chromatography Tetradetector system equipped with autosampler, refractive index, two-angle light scattering, four capillary viscometer, and UV-Vis detectors. The system is also equipped with two Polymer Labs Polypore Columns with THF as the eluent for routine analysis of polymer molecular weight and polydispersity. A second GPC equipped with a Waters temperature control unit, Water 515 HPLC pump, and Waters 740 differential refractometer is also available for use with alternative eluents.
 Dynamic Mechanical Analyzer (DMA): TA Instruments RSA3 DMA equipped with direct drive air bearing motor, a liquid nitrogen cooled forced convention oven (temperature range -150 to 600 °C), force rebalance transducer (0.01-35 N range), and fixtures for three-point bending and film/fiber tension experiments.

• *Rheometer*: TA Instruments ARES-LS2 rheometer equipped with direct drive air bearing motor, liquid nitrogen cooled forced convention oven (temperature range -150-600 °C), Peltier heating/cooling plate (-30-150 °C) with temperature controlling circulator, force rebalance transducer (2 mN•m-200 mN•m), and parallel plate and cone-and-plate fixtures for polymer melts.

• *Polymer Synthesis Facilities*: high vacuum line, solvent purification system, and associated glassware for precision polymer synthesis using anionic polymerization techniques.

• *Static and Dynamic Light Scattering* (SLS & DLS): Brookhaven Instruments Research Goniometer and Laser Light Scattering System.

D. Major Equipment-Materials Science Center:

The Materials Science Center (located four blocks from the Chemistry Building) houses excellent characterization facilities available for use under a cost-recovery system to pay for Ph.D. staff time. This facilities houses:

• *Wide-angle X-ray diffraction* (WAXS): Instrument built in-house uses a Bruker 4XE short anode to produce Cu K_a X-rays which are monochromated through flat graphite and collimated using pinholes. Two dimensional data acquisition employs a Siemens Hi-Star area detector.

• *Cryogenic Transmission Electron Microscopy* (cryoTEM): A Leo 912 TEM (60-120 kV accelerating voltage, magnification 80-500,000 times) equipped with a Fischione cryo-stage and temperature controller for cryoTEM sample observation.

• Small-angle X-ray Scattering (SAXS) : Rigaku S-MAX 3000 small-angle X-ray scattering system equipped with a MicroMax 002+ Sealed Tube Cu K_a X-ray source, 0.5-2.0 m adjustable sample to detector distance, Linkam temperature control hot stage, and both high-flux and high-resolution configurations using 3-pinhole collimation (minimum accessible q = 0.007 Å⁻¹).

III. OTHER FACILITIES

The University of Wisconsin-Madison maintains state-of-the-art science libraries, including Chemistry (housed in the Chemistry Building), Engineering, Biochemistry, Life Sciences, and Pharmacy. The libraries provide research access to many databases including SciFinder Scholar (CAS/Medline), CrossFire (Beilstein/Gmelin), the Cambridge Crystallographic Database, Web of Knowledge, and Ovid (Current Contents). Appendix B: Project Partners Commitment Letters



Wednesday, May 11, 2011

Grants Review Board Preservation and Access Research and Development Room 411 National Endowment for the Humanities 1100 Pennsylvania Avenue, NW Washington, DC 20506 202-606-8570 preservation@neh.gov

Dear Members of the Review Board:

The Wisconsin Historical Society (WHS) will partner with the University of Wisconsin-Madison's Wisconsin Center for Film & Theater Research (WCFTR) and the Mahanthappa Research Group on their "Investigation of Cellulose Nitrate Motion Picture Film Chemical Decomposition and Associated Fire Risk" project.

I will offer my expertise as WHS Library-Archives Preservation Coordinator to the project's conservation activities. Additionally, the WHS will donate at least 7 rolls of deaccessioned nitrate film to the project, a decision that has been approved by our Deaccessioning Committee.

I have read the proposal application, and believe this project presents a vital opportunity to improve our understanding of nitrate film, a medium that has proven problematic for many in the conservation field. Project results will be of great value not just to the WHS and its partner institution the WCFTR, but also to the wider field of historic records preservation.

Sincerely,

Katter Ul

Kathleen Mullen Endowed Preservation Coordinator Wisconsin Historical Society 816 State St. Madison, WI 53706

Collecting, Preserving and Sharing Stories Since 1846

816 State Street Madison, Wisconsin 53706

wisconsin history.org

Appendix C: Advisory Board Commitment Letters and Résumés

Rochester Institute of Technology

J.

Image Permanence Institute Frank E. Gannett Memorial Hall 70 Lomb Memorial Drive Rochester, NY 14623-5604 585-475-5199 Fax 585-475-7230

Reviewers Preservation and Access Research and Development Room 411 National Endowment for the Humanities 1100 Pennsylvania Ave., NW Washington, DC 20506

May 13, 2011

K·I·T

Dear Reviewers, I am writing to officially participate in the project, "Investigation of cellulose Nitrate Motion Picture Film Chemical Decomposition and Associated Fire Risk," being submitted by Wisconsin Center for Film and Theater Research and the Mahanthappa Research Group, at the University of Wisconsin in partnership with the Wisconsin Historical Society as a member of their advisory board as described in the proposal narrative.

I have read a draft of the narrative for this project and, while no one can predict how research will turn out, I'm quite convinced that this project will produce some useful information for humanities collections containing cellulose nitrate film.

My own experience with nitrate dates back 1988 with the NEH project, "Preservation of Safety Film," Grant # PS-20159-88 in which we included a sample of cellulose nitrate motion picture film in order to have a context in which to understand the results obtained from the cellulose acetate and polyester film incubations.

Nitrate research continued in the project, "New Approaches to Safety Film Preservation," NEH grant PS-20445-91.

A copy of my CV is attached.

Sincerely,

oglas in

Douglas W. Nishimura Research Scientist DWN/ms



The Imaging Permanence Institute is jointly sponsored by Rochester Institute of Technology and the Society for Imaging Science and Technology. It is a department of the School of Photographic Arts and Sciences. College of Imaging Arts and Sciences.

EDUCATION

9/80–12/84 McMaster University, Hamilton, Ontario Bachelor of Science, Chemistry

School Honors: McMaster Merit Award

WORK EXPERIENCE

5/86–Present Rochester Institute of Technology, Rochester, New York

Job Description: Research Scientist/Engineer at the Image Permanence Institute. This is a professional staff position that involves some teaching. Technical supervision of several major projects. Provides technical support in chemistry, math, statistics, programming, materials science, and experimental design.

2/85–5/86 Public Archives of Canada, Ottawa, Ontario

Job Description: Automating the acquisition and analysis of sensitometric data. Activities included an examination of currently used automated sensitometric systems, a feasibility study, and writing of detailed hardware and software specifications. Among the duties were teaching VENIX/86 (UNIX) to staff members, writing necessary documentation, and installing and supervising the installation of equipment. Unrelated duties included the presentation of a statistics seminar and the statistical analysis of sensitometric data. Regular chemistry duties consisted of consulting for photograph, paper and oil painting conservation, and for image preservation research projects, as well as advising on handling, storage and disposal of chemicals.

SELECTED PUBLICATIONS

- Burge, D., D. Nishimura, and M Estrada, "Summary of the DP3 project survey of digital print experience within libraries, archives, and museums," Final Program and Proceedings Archiving 2009, Society for Imaging Science and Technology Archiving 2009 Meeting, Arlington. Springfield, VA: IS&T. 2009, pp. 133-136.
- Salesin, E., J. Scott, D. Nishimura, P. Adelstein, J. Reilly, and D. Burge, "Abrasion of digital reflection prints," Technical Program and Proceedings NIP 24.Society for Imaging Science and Technology 24th International Conference on Digital Printing Technologies, Pittsburgh. Springfield, VA: IS&T. 2008, pp 228-230.
- Nishimura, D. W., "Report on the Chemical Treatment of Photographic Materials," Topics in Photographic Preservation, Vol. 9, American Institute for Conservation, Photographic Materials Group, 2001.
- Nishimura, D. W., "The Practical Presentation of Research Studies on Film Stability," Research Techniques in Photographic Conservation, proceedings of the Conference in Copenhagen, Denmark, May 14-19, 1995. Copenhagen: the Royal Danish Academy of Fine Arts. 1996, pp. 85-91.
- Nishimura, D. W., "Film Supports: Negatives, Transparencies, Microforms, and Motion Picture Films," Storage of Natural History Collections: A Preventive Conservation Approach, C. L. Rose, C. A. Hawks, and H. H. Genoways, eds., Iowa City: Society for the Preservation of Natural History Collections. 1995, pp. 365-394.
- Nishimura, D. W., "The IPI Storage Guide for Acetate Film," Topics in Photographic Preservation, Vol. 5, American Institute for Conservation, Photographic Materials Group, 1993.
- Nishimura, D. W., "Film Supports: Negatives, Transparencies, Microforms, and Motion Picture Films," *Storage of Natural History Collections: A Preventive Conservation Approach*, Carolyn L. Rose, Catharine A. Hawks, and Hugh H. Genoways, eds., Society for the Preservation of Natural History Collections, 365–394, 1995.
- Adelstein, P. Z., J. M. Reilly, D. W. Nishimura, and C. J. Erbland, "Stability of Cellulose Ester Base

Photographic Film: Part III—Measurement of Film Degradation," *SMPTE Journal*, 104:281–291.

Adelstein, P. Z., J. M. Reilly, D. W. Nishimura, and C. J. Erbland, "Stability of Cellulose Ester Base Photographic Film: Part IV—Behavior of Nitrate Base Film," *SMPTE Journal*, 104:359–369.

- Adelstein, P. Z., J. M. Reilly, D. W. Nishimura, C. J. Erbland, and J.-L. Bigourdan, "Stability of Cellulose Ester Base Photographic Film: Part V —Recent Findings," *SMPTE Journal*, 104:439–447.
- Nishimura, D. W., "The IPI Storage Guide for Acetate Film," *Topics in Photographic Preservation*, 5:123–137, 1993.
- Adelstein, P. Z., J. M. Reilly, D. W. Nishimura, and C. J. Erbland, "Stability of Cellulose Ester Base Photographic Film: Part I—Laboratory Testing Procedures," *SMPTE Journal*, 101: 336—346, 1992.

Adelstein, P. Z., J. M. Reilly, D. W. Nishimura, and C. J. Erbland, "Stability of Cellulose Ester Base Photographic Film: Part II—Practical Storage Considerations," *SMPTE Journal*, 101:347–353, 1992.

OTHER QUALIFICATIONS

Knowledge of theoretical and applied statistics for science and engineering; basic materials science; computer architecture; computer graphics; UNIX, DOS, and Micro-VMS operating systems; BASIC, FORTRAN IV, FORTRAN 66, and FORTRAN 77; PASCAL and C programming languages; and RS1 analysis software.

Experience with a number of ANSI, TAPPI, and ASTM Standard test methods

PROFESSIONAL AFFILIATIONS

SPSE, The Society for Imaging Science and Technology

American Institute for Conservation of Historic and Artistic Works (AIC) AIC Photographic Materials Group AIC Research and Technical Studies Group AIC Electronic Media Group

Member of the International Council of Museums (ICOM) Photographic Records Working Group

CONSULTING

Consultation on preservation of photographs, cinema, and microfilm holdings provided to Harvard University, Library of Congress, National Archives and Records Administration, National Library of Venezuela, Research Libraries Group, Savings and Loan Data Corp., and Delaware State Archives.

TEACHING, LECTURING, AND SYMPOSIA

Currently teaching graduate/undergraduate course, Preservation and Care of Photographs at RIT.

Taught in the Mellon Advanced Residency Program in Photograph Conservation.

Regular speaker at Rochester Institute of Technology/George Eastman House seminar on the preservation of photographs.

Taught and lectured at the National Library of Venezuela and at the Huesca Imagen, Huesca, Spain.

Taught a one-week workshop (6-8 hour lectures) at the National Museum of Australia "Preventive Photographic Conservation" accredited by the Conservation of Cultural Materials Program at the University of Canberra as equivalent to a regular one semester Master's course.

May 16, 2011

Preservation and Access Research and Development Room 411 National Endowment for the Humanities 1100 Pennsylvania Avenue, NW Washington, DC 20506

Dear Sir or Madam,

I am writing a letter to support the NEH grant proposal submitted on behalf of the Wisconsin Center for Film and Theater Research (WCTFR), the Mahanthappa Lab, and the Wisconsin Historical Society. I will also be sitting on the project's Advisory Board.

The research being proposed will be absolutely essential for the world's moving image archives which contain nitrate motion picture film. There is far too little hard, scientific research available for curators to make fact-based decisions on how best to store and conserve their collections. This research would be an important first step in filling that knowledge void.

As the Director of the Academy Film Archive for the last ten years, I have struggled with my colleagues to handle and store our nitrate films as best as we can. There is nothing worse for an archivist than the unmistakable smell of decomposing nitrate which precedes the sight of a fine brown powder or a goopy, fused roll that used to be a perfectly good reel. And if that reel decomposed before finding its way to the archive, the contents could be lost forever. Far too much of the world's cinematic heritage has already been lost to nitrate destruction and decomposition. I believe that this proposed research could contribute substantially to keeping thousands of reels of nitrate with us for a very long time.

Thank you in advance for your time and consideration.

Sincerely,

Michael Pogorzelski Director Academy Film Archive

Michael Pogorzelski

1313 Vine Street Los Angeles, CA 90028 Phone: +1 (310) 247-3000 Email: mpogo@oscars.org

Curiculum Vitae Michael Pogorzelski

Summary

Michael has worked at the Academy Film Archive in Los Angeles, CA for the last fifteen years. Beginning in 1996, he specialized in film preservation and restoration and supervised numerous projects including Hollywood feature films, documentaries, animated films, silent films, as well as experimental and avant-garde works.

In 2000, Michael became Director of the Academy Film Archive and is responsible for the archive's 28-person staff and collections which contain over 130,000 items including almost 10,000 reels of nitrate film.

Professional Experience

9/96 – Present	Academy Film Archive September 1996 – May 1998: Film Archivist May 1998 – August 2000: Preservation Office August 2000 – present: Director	Los Angeles, CA, USA er
F ducation	 Duties included: Overseeing photochemical film restor Supervising digital film restoration pro Maintaining nitrate and safety vaults Representing archive in professional Working with donors and depositors Creating and maintaining annual oper 	jects associations and federations
Education		
9/90 — 5/94	University of Wisconsin - Madison Bachelor of Arts Major: Communication Arts (Film History) Journalism	
9/94 — 9/96	University of Wisconsin - Madison Master of Arts Communication Arts (Film History)	

From: Ed Stratmann <estratmann@geh.org> Date: May 16, 2011 3:28:19 PM CDT To: 'Heather Heckman' <heckman@wisc.edu> Subject: RE: Nitrate Grant Advisory Board

Heather,

I have read the attached proposal and am willing to serve on the Advisory Board, in the capacity described.

Attached find my CV and a Bio, if needed.

Let me know if I can do anything else or if you need anything else

Ed Stratmann Associate Curator Motion Picture Collection George Eastman House

Every email—and everything Eastman House related—is made possible by the generosity of our donors. If you are a donor already, thank you. You help bring photography, motion pictures, and George Eastman to the world. If you're not yet a donor, we need you! Please make a gift to the Museum's year-end appeal http://www.eastmanhouse.org/get-involved/support.php

And remember, memberships to George Eastman House make excellent gifts for everyone on your list.

http://www.eastmanhouse.org/get-involved/membership.php They'll thank you all year long, and so will we!

Curriculum vitae Edward E. Stratmann May, 2010

ADDRESS:

(business) George Eastman House 900 East Avenue Rochester, N.Y. 14607 Tel: 1-716-271-3361; ext-327 e-mail: estratmann@geh.org

EDUCATION:

Edison Technical and Industrial High School 1968-1972 Monroe Community College 1972-1973 Rochester Institute of Technology 1973

PROFESSIONAL EXPERIENCE:

George Eastman House Motion Picture Department 1974 - present Associate Curator, Preservation Interim Director Motion Picture Department December, 2008 – March 2010 December 2010 - Present

ORGANIZATIONS:

AMIA (Association of Moving Image Archivists) - Founding Member;1990-present Standing Member Preservation Committee; Conference Committee Member - 1998, Vice-Chair of Preservation Committee - 1999/2000, Secretary of the Board – 2002-2004

SMPTE (Society of Motion Picture And Television Engineers) - Regional Board Manager, 1998-2000 ; 2006-2008 ; 2008-2010

FIAF (International Federation of film Archives) Member North American Sub-committee on Preservation

Joint Technical Symposium - Paris, 2000, Toronto 2004, Toronto 2007

SEMINARS, CONFERENCES ATTENDED:

F/TAAC - North American-general and FIAF Group 1988-1990

FAST REWIND - FILM/VIDEO CONFERENCE - 1989, 1991, 1993

AMIA - 1990 (Founding Members Group) 1992 - 2010

FIAF - Centennial Conference, Los Angeles - 1995 Colombia, S.A. - 1997

EXHIBITIONS:

Behemoths of the Cinema - George Eastman House, 1992-1995

RESTORATIONS:

(Selected List)

SALAMBO (1914) GEH, 1989 THE COSSACK WHIP (1916) GEH, 1990-1994 TOONERVILLE TROLLEY SERIES (1921-1923) GEH, 1991-1994 (Four of the remaining five) LONESOME (1928) GEH, 1991-1994; 2010 THE LOST WORLD (1925) GEH, 1997 ENOCH ARDEN (1915) 1999 SNOW WHITE (1916) GEH, 1998 VOICE OF THE VIOLIN (1909) GEH, 1999 THE PENALTY (1922) GEH, 2000 TURN TO THE RIGHT (1922) GEH, 2000 PANDORA AND THE FLYING DUTCHMAN (1951), GEH 2008

AWARDS/CITATIONS:

Pordenone Film Festival Preservation Award - October, 1998

The Dan and Kathy Leab Award - December, 1998 Association of Moving Image Archivists Award for Achievements In the Field Appendix D: Staff Résumés and Position Announcements

CURRICULUM VITAE Vance Kepley, Jr. Department of Communication Arts 821 University Ave. University of Wisconsin-Madison Madison, WI 53706 vikepley@wisc.edu

EDUCATION

B.A. University of Illinois-Urbana, 1973.

M.A. University of Wisconsin-Madison, 1976.

Ph.D. University of Wisconsin-Madison, 1978.

PROFESSIONAL POSITIONS:

University of Delaware (1978-81, Assistant Professor, Director of Film Studies Program) University of Wisconsin-Madison (1982-present, Assistant! Associate/Full Professor of Film) <u>SELECTED PUBLICATIONS</u>:

Books:

- In the Service of the State: The Cinema of Alexander Dovzhenko (Madison; University of Wisconsin Press, 1986).
- "The End of St. Petersburg": The Film Companion (London: I.B. Tauris, 2003). Essays:
- "The Evolution of Eisenstein's Old and New," *Cinema Journal*, 14, no. 1, (1974), 34-50 (Society for Cinema Studies Award); appearing in translation in Skrien (Netherlands), no. 87 (May 1979), 18-23; reprinted in *Cinema Examined*, ed. Jack Ellis and Richard D.MacCann (New York: Dutton, 1982).
- "Griffith Biograph Shorts," Journal of Aesthetic Education, 9, no. 2 (1975), 5-18.

"The Musketeers of Pig Alley and the Well-Made Sausage," *Literature/Film Quarterly*, 6, no. 3 (1978), 274-84.

- "Griffith's Broken Blossoms and the Problem of Historical Specificity," *Quarterly Review of Film Studies*, 3, no. 1 (1978), 37-48.
- "Intolerance and the Soviets: A Historical Investigation," *Wide Angle*, 3, no. 1 (1979), 22-27; reprinted in Richard Taylor and Ian Christie, eds., *Inside the Film Factory: New Approaches to Russian and Soviet Cinema* (London and New York: Routledge, 1992), 51-59.
- "The Coherence of Dovzhenko's *Arsenal*," *Film Studies Annual*, ed. Ben and Anna Lawton (West Lafayette, IN., 1979), 9-17.
- "Foreign Films on Soviet Screens, 1922-1931," *Quarterly Review of Filn Studies*, 4, no. 4 (1979), 429-42 (co-author with Betty Kepley).
- "The Scientist as Magician: Dovzhenko's Michurin and the Lysenko Cult," *Journal of Popular Film and Television*, 8, no. 2 (1980), 19-28.
- "Folklore as Political Rhetoric: Dovzhenko's Zvenigora," Film Criticism, 7, no. 1 (1982), 37-46.
- "Strike Him in the Eye!: Dovzhenko's *Aerograd* and the Stalinist Terror," *post-script*, 2, no. 2 (1983), 37-54.
- "Spatial Articulation in the Classical Cinema: A Scene From *His Girl Friday*," *Wide Angle*, 5, no. 3 (1983), 50-58.
- "The Workers' International Relief and the Cinema of the Left, 1921-1935," Cinema Journal, 23,

no. 1 (1983), 7-23.

- "The Origins of NBC's *Project XX* in Compilation Documentaries," *Journalism Quarterly*, 61, no. 1 (1984),20-26.
- "Pudovkin and the Classical Hollywood Tradition," Wide Angle, 7, no. 3 (1985), 54-61.
- "Documentary as Commodity: The Making and Marketing of *Victory at Sea*," *Film Reader* VI (1986), 103-12.
- "The Origins of the Soviet Cinema: A Study in Industry Development," *Quarterly Review of Film Studies*, 10, no. 1 (1985),22-38; reprinted in Richard Taylor and Ian Christie, eds., *Inside the Film Factory* (London and New York: Routledge, 1992),60-79.
- "The Kuleshov Workshop," Iris, 4, no. 1 (1986), 5-23.
- "Building a National Cinema: Soviet Film Education, 1919-1934," *Wide Angle*, 9, no. 3 (1987), 4-20.
- "The Weaver Years at NBC," Wide Angle, 12, no. 2 (1990), 46-63.
- "Soviet Cinema and State Control: Lenin's Nationalization Decree Reconsidered," *Journal of Film and Video*, 42, no. 2 (1990), 3-14.
- "Cinema and Everyday Life: Soviet Worker Clubs of the 1920s," in Robert Sklar and Charles Musser, eds., *Resisting Images: Essays on Cinema and History* (Philadelphia: Temple University Press, 1991), 108-25.
- "Mr. Kuleshov in the Land of the Modernists," in Anna Lawton, ed., *The Red Screen: Politics, Society, Art in Soviet Cinema* (London and New York: Routledge, 1992), 132-47.
- "'Film Seance': The Role of Speech in Soviet Exhibition of the 1920s," *Wide Angle*, 15, no. 1 (1993), 7-27.
- "Eisentein as Pedagogue," Quarterly Review of Film and Video, 14, no. 4 (1993), 1-16.
- "Cinefication': Soviet Film Exhibition in the 1920s," Film History, 6, no. 2 (1994); 262-77.
- "Dovzhenko and Montage: Issues of Style and Narration in the Silent Films," *Journal of Ukrainian Studies*, 19, no. 1 (1994),29-44.
- "Pudovkin and the Continuity Style: Problems of Space and Narration," *Discourse*, 17, no. 3 (1995), 85-100; also published in translation in *Archivos de la Filmoteca* (Spain), no. 15 (October 1993), 77-86.
- "Whose Apparatus?: Problems of Film Exhibition and History," *Post-Theory: Reconstructing Film Studies*, eds., Noel Carroll and David Bordwell (Madison: University of Wisconsin Press, 1996), 533-49; also published in *Lahikuva* (Finland), 1998, no. 4, 6-17.
- "Pudovkin, Socialist Realism, and the Classical Hollywood Style," *Journal of Film and Video* 47, no. 4 (1995-96),2-16.
- "The First Perestroika: Soviet Cinema Under the First Five-Year Plan," *Cinema Journal* 35, no. 4 (1996), 31-53.
- "Federal Cinema: The Soviet Film Industry, 1924-32," Film History 8, no. 3 (1996)), 344-56.
- "Eisenstein and Soviet Cinema," in *Defining Cinema*, ed. Peter Lehman (New Brunswick: Rutgers Univ. Press, 1997), 37-55.
- "The Order of Point of Order," Film History 13, no. 2(2001), 200-15.
- "Ukrainian Pastoral," Film Comment 38, no. 3 (2002), 58-61.
- "The Return of Vasilii Bortnikov," *Studies in Russian and Soviet Cinema* 2, no. 3 (2008): 341-42.

MAHESH K. MAHANTHAPPA

Department of Chemistry, 1101 University Ave., University of Wisconsin, Madison, WI 53706 Tel: 608-262-0421; Fax: 608-262-6143; Email: <u>mahesh@chem.wisc.edu</u>

PROFESSIONAL PREPARATION

University of Colorado-Boulder	Chemistry & Mathematics	B.A., 1997
Stanford University	Chemistry	Ph.D., 2003
University of Minnesota	Polymer Physics (Chem. Eng.)	Postdoctoral 2003-2006

PROFESSIONAL APPOINTMENTS

2006-present	Assistant Professor of Chemistry, University of Wisconsin-Madison
2006-present	Materials Science Program Faculty, UW-Madison

AWARDS

University of Wisconsin Emil H. Steiger Distinguished Teaching Award, 2010 University of Wisconsin Department of Chemistry James W. Taylor Teaching Award, 2009 NSF CAREER Award, 2008 3M Non-Tenured Faculty Grant, 2008 Fannie and John Hertz Foundation Fellowship, 1997-2002 Boettcher Foundation Scholar, 1993-1997 52nd Westinghouse Science Talent Search Scholarship, 7th Place, 1993

PUBLICATIONS

Five (5) publications closely related to the proposed project:

- C. E. Lipscomb and M. K. Mahanthappa. "Microphase Separation Mode-Dependent Mechanical Response in Poly(vinyl ester)/PEO Triblock Copolymers," *Macromolecules*, 2011, *in press as manuscript #ma200034u*. <u>http://pubs.acs.org/doi/abs/10.1021/ma200034u</u>
- J. M. Widin, A. K. Schmitt, K. Im, A. L. Schmitt, and M. K. Mahanthappa. "Polydispersity-Induced Stabilization of a Disordered Bicontinuous Morphology in ABA Triblock Copolymers," *Macromolecules*, 2010, 43, 7913-7915. <u>http://pubs.acs.org/doi/abs/10.1021/ma101140m</u>
- M. H. Repollet-Pedrosa, R. L. Weber, A. L. Schmitt, and M. K. Mahanthappa. "Poly(vinyl acetateb-vinyl alcohol) Surfactants Derived from Poly(vinyl ester) Block Copolymers," *Macromolecules*, 2010, 43, 7900-7902. <u>http://pubs.acs.org/doi/abs/10.1021/ma1014116</u>
- C. E. Lipscomb and M. K. Mahanthappa. "Poly(vinyl ester) Block Copolymers Synthesized by Reversible Addition Fragmentation Chain Transfer Polymerizations," *Macromolecules*, 2009, 42, 4571-4579. <u>http://pubs.acs.org/doi/pdf/10.1021/ma900477d</u>
- M. B. Runge, C. E. Lipscomb, L. Ditzler, M. K. Mahanthappa, A. V. Tivanski, and N. B. Bowden. "Investigation of the Assembly of Coil-Comb Block Copolymers in the Solid State," *Macromolecules*, 2008, 41, 7687-7694. <u>http://pubs.acs.org/doi/pdf/10.1021/ma8009323</u>

Five (5) other significant publications:

- R. L. Weber, Y. Ye, A. L. Schmitt, S. M. Banik, Y. A. Elabd, M. K. Mahanthappa. "Effects of Nanoscale Morphology on the Ionic Conductivity of Polymerized Ionic Liquid Block Copolymers," *Macromolecules, submitted.*
- 7. R. L. Weber, Y. Ye, S. M. Banik, Y. A. Elabd, **M. K. Mahanthappa**. "Synthesis and Ion Transport of Hydrophilic and Hydrophobic Polymerized Styrenic Imidazolium Ionic Liquids," *J. Polym. Sci. Part B: Polym. Phys., submitted.*
- D. N. Bunck, G. P. Sorenson, M. K. Mahanthappa. "Cobalt-Mediated Radical Polymerization (CMRP) Routes to Poly(vinyl ester) Block Copolymers," J. Polym. Sci. Part A: Polym. Chem., 2011, 49, 242-249.
- 9. M. K. Mahanthappa, M. A. Hillmyer, and F. S. Bates. "Mechanical Consequences of Molecular Composition on Failure in Polyolefin Composites Containing Glassy, Elastomeric, and

Semicrystalline Components," *Macromolecules*, **2008**, *41*, 1341-1351. http://pubs.acs.org/doi/pdf/10.1021/ma071196r

 M. K. Mahanthappa, L. S. Lim, M. A. Hillmyer, and F. S. Bates. "Control of Mechanical Response in Polyolefin Composites: Incorporation of Glassy, Rubbery, and Semicrystalline Components," *Macromolecules*, 2007, 40, 1585–1593. <u>http://pubs.acs.org/doi/pdf/10.1021/ma0617421</u>

SYNERGISTIC ACTIVITIES

I. Teaching and Curriculum Development at UW-Madison

Fall 2006-2009	Chem 109: Advanced General Chemistry, a one semester freshman-level
	accelerated course drawing examples from organic and materials chemistry
Spring 2007-2009	Chem 654: Synthetic Macromolecular Chemistry, a one semester senior
	undergraduate and first-year graduate level course in polymer chemistry
Summer 2008	Development of chemistry curriculum materials highlighting the social and
	political impacts of commodity chemical process with Mr. Jason Strauss for use
	in AP Chemistry courses (Verona High School, Verona, WI)
II. Outreach and Serv	ice at UW-Madison

July 2010	Madison Science Pub (science café) featured speaker discussing research in biodegradable polymers and the societal impact of commodity chemical	
Feb 2009 July 2008, 2009	processes Physical Sciences Division Judge for the Capitol Science and Engineering Fair Participant in NSF NSEC Online NanoScience Course for High School Teachers	

RECENT COLLABORATORS & CO-EDITORS:

University of Iowa, Dept. of Chemistry
Drexel University, Dept. of Chemical Engineering
University of Wisconsin, Materials Science Engineering
National Science Digital Library, Columbia
University of Reading, Reading, United Kingdom

GRADUATE AND POSTDOCTORAL ADVISORS

Graduate Advisor:	Robert M. Waymouth, Stanford University, Stanford, CA.
Postdoctoral Advisors:	Frank S. Bates and Marc A. Hillmyer, University of Minnesota,
	Minneapolis, MN.

UNDEGRADUATE, GRADUATE, & POSTDOCTORAL ADVISEES

Postdoctoral Advisees:	Dr. Kyuhyun Im (Ph.D. 2008, POSTECH; 2008-2009)
	Dr. Andrew L. Schmitt (9/1/09-present)
Ph.D. Thesis Advisees:	Corinne E. Lipscomb, Dominic V. Peronni, Milton Repollet-Pedrosa,
	Adam K. Schmitt, Gregory P. Sorenson, Frank Speetjens, Glen B.
	Thomas, Ryan L. Weber, Joan M. Widin
M.S. Advisees:	David R. Moody, Rhiannon Carter, Erin Henninger, Beau L. Monnot.
Undergraduate Advisees:	Steven N. Banik, Christopher M. Bates, Lauren E. Buckley, David N.
	Bunck, Keiva Coppage, Orielyz Flores-Fernandez, Isaac N. Plant,
	Andrew Price, François Payen, Sarina Schwartz.

MAXINE FLECKNER DUCEY

Home Address

2906 Lakeland Avenue Madison, WI 53704 (608) 244-4584 Office Address Room 448 State Historical Society 816 State Street Madison, WI 53706 (608) 264-6467

Education

BA, New York University, Film and Theatre History major; Pre-Law minor; degree granted with Honors, 1975.

MA, University of Wisconsin-Madison, Communication Arts, emphasis on history of film and photography; 1985.

Professional Experience

June 1979 to present: Archivist, (Senior Special Librarian), Wisconsin Center for Film and Theater Research, University of Wisconsin-Madison. Responsibilities include:

<u>Administration</u>: Coordinate and supervise all activities/functions of the WCFTR Archive, a research collection of more than fourteen thousand motion pictures, two million performing arts-related photographs and three hundred manuscripts collections; establish priorities for conservation, cataloguing and use of collections; supervise all staff, student assistants and volunteers; administer annual supplies budget; represent and promote the WCFTR Archive and its activities in the news media, at professional meetings and to all visitors; coordinate preservation, processing, reference and research, exchange, loan and exhibition activities for films and photos with university faculty, students and departments, as well as other film archives and institutions; assist the Director of WCFTR with administration of WCFTR.

<u>Reference</u>: Direct daily operations of the Film Study Center; make original film, photo and manuscripts material owned by the WCFTR available for on-site research; answer all on and off-site reference requests from scholars, faculty, students and general public; coordinate classroom screenings; prepare and update all reference aids, guides and brochures to the collections; develop systems for automated access to collections via in-house databases and Web. In addition to WCFTR reference, from February 2002 to present, responsible for staffing WHS Archives Reference Desk approximately five hundred hours per year. Provide reference information about original public and private historical records and manuscripts, maps, photographs, films, and sound recordings to researchers, scholars, genealogists and the general public.

<u>Archival processing</u>: Develop and implement procedures for cataloguing and indexing of films, videotapes, still photos, flat graphics, ephemera and manuscripts; design databases for improved access to and description of moving image materials and photo collections; supervise workflow of all cataloguing projects; monitor all processing done by staff and students.

<u>Preservation</u>: Determine and supervise implementation of appropriate technology for preservation, storage and repair of films, videotapes, photos and documents.

<u>Acquisition</u>: Contact potential donors; evaluate and select films, photos and manuscripts acquired through donation, deposit or exchange; maintain donor relations; insure donor access to processed and unprocessed collections.

September 1976-May 1979: Associate Film Archivist, Wisconsin Center for Film and Theater Research. Responsibilities included assisting with duties listed under <u>Reference</u> and <u>Archival</u> <u>Processing</u> above.

January 1976-September 1976: Photo Reference/Reading Room Supervisor, Visual and Sound Archives, State Historical Society of Wisconsin. Responsibilities included: providing reference service for the 1,000,000 historic photos in the Iconographic Collections; supervising reprographic services for the Section and other Society divisions; maintaining the various Iconographic Collections.

September 1973-September 1975: Editor, <u>Metropolitan Almanac</u>, New York City. Responsibilities included: writing and editing copy; assisting in all other phases (subscriptions, advertisements, paste-ups) of the publication of a weekly newspaper covering cultural and recreational events in and around New York City.

May 1972-September 1973: Staff member, American Repertory Theatre in Europe, Lugano, Switzerland. Responsibilities included: acting, publicity, costume and set construction; counseling and supervising student actors.

Professional Affiliations

Founding member, Association of Moving Image Archivists (AMIA), AMIA President 1995-1996
Member, Archive Directory Task Force, Moving Image Collections (MIC), AMIA
Affiliate, International Federation des Archives du Film (FIAF)
Founding member, National Moving Image Database Council (NAMID)
Member, Society of American Archivists (SAA)
Panelist, National Endowment for the Arts Film Preservation Panel

<u>Service</u>

Archival advisor for: Florida State Archives (Department of Commerce Film and Photo Collection); Southern California Library for Social Studies and Research, (16mm films/video in collections); Rockefeller Archives Center (film and photos in the collections); Civil Rights Project, Inc. (Disposition of Henry Hampton/Blackside film collection.) Grant reader for the National Endowment for the Arts, National Endowment for the Humanities Rockefeller Fellow Selection Committee, University of Wisconsin. Special Collections and Libraries Group, University of Wisconsin.

A complete list of papers, lectures, publications and exhibitions available on request.

KATHLEEN MULLEN

Kathleen.mullen@wisconsinhistory.org 608-264-6489

EDUCATION

2003-2006
University of Texas at Austin, Austin, TX
M.S.I.S with Certificate of Advanced Study in Book and Paper Conservation.
1998-2003
Hunter College, CUNY New York, NY
Bachelor of Arts, *cum Laude* with majors in Classical Philology and American Literature.

PROFESSIONAL ORGANIZATIONS

American Institute for Conservation Member 2003 – Committee Memberships, American Institute for Conservation: Emerging Conservation Professionals Network, Communications Coordinator (2009-2010)

EXPERIENCE

CURRENT

May 2010 – Wisconsin Historical Society Library-Archives, Madison, WI Endowed Preservation Coordinator, 1.0 FTE

Lead the preservation program in the Library-Archives Division and the operations of the paper conservation lab, book conservation program, the microimaging lab, and the audio lab; supervise a permanent staff of 5.75 full-time employees and 4.0 full-time limited-term employees; provide preservation expertise and guidance to staff assigned to preservation work; advise the Administrator of the Library-Archives Division on overall direction of the preservation program; consult on the creations of offsite storage facility for library and museum collections; conduct research and planning; identify priorities; develop policies, standards and procedures and orients staff to them; plan and manage implementation projects; prepare grant proposals; develop plans and specification for consultants; ensure that storage environments are monitored and oversee the implementation of standards for all rehousing, reformatting, and conservation activities performed by division staff; plan and coordinate disaster recovery procedures and contribute to the security of collections.

2008-2010

Indiana State Library and Indiana Commission on Public Records, Indianapolis, IN Conservator, Program Director 1, 1.0 FTE

Responsible for the planning, implementation, and administration of the conservation initiatives for the Indiana State Library and Indiana Commission on Public Record's general and special collections, in consultation with Library and Commission administration. Supervises and trains staff, interns and volunteers engaged in preservation activities. Provides professional expertise in determining the proper course of treatment for library and archival materials in need of conservation treatment. Provides collection-level condition assessments: performs condition surveys of general and special library collections in cooperation with other staff; documents survey results; and develops broad treatment plans based on size, nature, condition, and use patterns of the collections. Prioritizes preservation needs of both institutions. Reviews and implements standards and procedures for preservation activities. Performs item level treatments of library and archives materials, as well as materials from other state and county institutions as needed. Develops and maintains documentation for conservation treatment according to published standards. Implements program of environmental monitoring and responds accordingly to environmental concerns for the State Archives and State Library, including purpose-built vaults for rare materials. Develops continuity of business and disaster salvage plans for the Indiana Commission on Public Records and the Indiana State Library. Responds to disasters throughout the state of Indiana that affect public records. Contributes to preservation needs planning for anticipated move of State Archives into new, purposebuilt facility. Advises on exhibit conditions for rare materials. Provides public outreach in the form of lectures on

preservation needs of collections materials, developing disaster plans for small institutions and on the history of books. Identifies funding opportunities and develops grant proposals in coordination with other staff.

2006-2008

University at Albany, State University of New York, Albany, NY *Collections Conservator, 1.0 FTE*

Responsible for conservation, repair and enclosure construction for the University Libraries' circulating and noncirculating materials in the general and special collection; works in close collaboration with staff across the Libraries to evaluate collection and item level needs and recommends treatment; in collaboration with the Preservation Librarian manages outsourcing of conservation treatments that cannot be performed in house. Assists with the development of emergency planning for the Libraries. Responsible for the selection of appropriate conservation supplies, tools, and equipment; maintenance of tools/equipment and establishment of quality control procedures to verify the adherence of products to standards. Evaluates and improves efficiencies of workflow while ensuring accepted conservation-sound practices and safe lab protocols, evaluates and implements new technologies related to the preservation and reformatting of library materials and provides staff training. As the Department's student staff supervisor, establishes specifications and procedures for work performed and workflow patterns, and ensures quality of completed work.

2006

New-York Historical Society, New York, NY Conservation Intern, 1.0 FTE

2004-2005 Architecture and Planning Library, UT Austin, Austin, TX Conservation Technician, .5 FTE

Summer 2005 Austin History Center, Austin, TX Practicum in Preservation, 1.0 FTE

Performed and reported findings of short-term environmental survey in an archival environment, including evaluation of HVAC system. Implemented Integrated Pest Management program and respond to findings by modifying environment. Made recommendations for installation of a fire suppression system. Updated a prior general preservation needs assessment. Identified conservation priorities and drew up list of projects for the future. Advised on digitization and housing issues. Suggest relevant grants for digitization projects and future preservation work.

Spring 2005 Alexander Architectural Archive, Austin, TX Volunteer Archives Conservation Assistant, .2 FTE

2004 Digital Library Service Department, UT Austin Libraries, Austin, TX Digitization Technician, .5FTE

Fall 2003Austin History CenterAustin, TXVolunteerArchival Processor .2 FTE

Harry Ransom Humanities Research Center Austin, TX Volunteer - Photo Album Survey Project .1FTE Book Repair Unit, UT Austin Libraries Austin, TX Volunteer Preservation Assistant .2 FTE Polymer Chemistry 50% Research Assistant

Employer: Mahanthappa Research Group, Chemistry Department

Pay: \$1,700/mo

Number of Positions: 1

Category: Graduate Assistant (Research, Teaching and Project Assistant)

On Busline?: Yes

Contact Information

Name: Mahesh Mahanthappa Email: mahesh@chem.wisc.edu Address: 7365a Chemistry Building Phone: 608-262-0421 Website: http://www.chem.wisc.edu/~mahesh/

Description: The Mahanthappa Group at the University of Wisconsin-Madison is seeking a Chemistry graduate student to assist with research related to cellulose nitrate film decomposition and flammability. Under the supervision of Professor Mahanthappa, the Research Assistant is responsible for on-site lab work and for the preparation of samples for outsourced analyses. The student must also liaise with staff at the Wisconsin Center for Film and Theater Research (WCFTR) and the Wisconsin Historical Society (WHS), who will be donating research samples. This position is a 50%, 18-month appointment that begins January 1, 2012.

Desired Qualifications: Masters Degree in Chemistry; strong research skills; experience with experimental methods listed below; interest in polymer conservation

Duties and Responsibilities:

- Assist in experimental design
- Prepare experimental samples
- Oversee accelerated aging trial
- Test samples with following methods: infrared spectroscopy, mass spectrometry, intrinsic viscosity, elemental analysis, differential scanning calorimetry, pH measurement, thermogravimetric analysis, and ¹H nuclear magnetic resonance
- Analyze results
- Meet regularly with staff in the Mahanthappa Lab and at the WCFTR and WHS
- Draft best practice guidelines for the storage and handling of cellulose nitrate film

Communication Arts 50% Research Assistant Employer: Wisconsin Center for Film & Theater Research, Communication Arts Department Pay: \$1,700/mo Number of Positions: 1 Category: Graduate Assistant (Research, Teaching and Project Assistant) On Busline?: Yes Contact Information Name: Vance Kepley Email: vikepley@wisc.edu Address: 6038 Vilas Hall Phone: 608-890-3274 Website: http://www.wcftr.commarts.wisc.edu/

Description: The Wisconsin Center for Film & Theater Research (WCFTR) at the University of Wisconsin-Madison is seeking a Communication Arts graduate student to assist with research related to cellulose nitrate film decomposition and flammability. Under the supervision of the WCFTR Director, the Research Assistant is responsible for historical research into nitrate decay and for the compilation of oral histories about nitrate storage. As necessary, the student will also assist with entry-level activities in the Mahanthappa Lab, where a concurrent empirical study will take place. The student must liaise with staff in the Mahanthappa Research Group and at the Wisconsin Historical Society (WHS). This position is a 50%, 18-month appointment that begins January 1, 2012.

Desired Qualifications: Masters Degree in Communication Arts; strong historical research skills; background in chemistry; experience with motion picture handling and conservation; interest in moving image preservation

Duties and Responsibilities:

- Compile annotate bibliography of sources on nitrate decay and fire risk
- Prepare interview questions and interview moving image archivists and other experts on cellulose nitrate stewardship
- Inspect and catalog nitrate films donated to the project
- Assist Chemistry RA with laboratory work
- Meet regularly with staff at the WCFTR, the WHS, and the Mahanthappa lab

Draft best practice guidelines for cellulose nitrate storage and handling

Appendix E: Letters of Support

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16 May 2011

Dear Proposal Reviewers,

I am writing in support of the project, "Investigation of Cellulose Nitrate Motion Picture Film Chemical Decomposition and Associated Fire Risk," submitted by the Wisconsin Center for Film & Theater Research and the Mahanthappa Research Group at the University of Wisconsin, Madison, in partnership with the Wisconsin Historical Society.

As a photographic collections archivist at the University of California at Berkeley I have spent many years working with cellulose nitrate negative film and I believe that this project can make a valuable contribution to the field of nitrate preservation. Although focused on motion picture film, the project's results are certain to yield a better understanding of nitrate film bases useful in photographic preservation as well. One of the great challenges I have faced in trying to preserve historic film-based originals is that the literatures and standards of fire safety and film preservation do not mesh well. For example, it is impossible to follow NFPA 40 to the letter in designing a storage vault and to also provide the sub-zero conditions recommended for long-term preservation.

Furthermore, our field is rife with anecdotal information suggesting that film, in good condition, is not as hazardous as some of the safety literature might lead one to believe. These anecdotes are either dangerous, lulling archivists into a false sense of security, or they may be correct in implying that there could be safe and prudent management techniques less stringent and costly than current regulations. Either way, more research is needed. There have been few empirical investigations into nitrate decay and flammability, so the project data alone would be of great interest. However, as a practicing archivist, I am particularly impressed by the proposed project deliverables, which include amendments to standards like NFPA 40 and ISO 10356. In particular, NFPA 40 has proven frustrating to archivists in both the still photography and motion picture fields, and a review of its content is past due.

I hope that you will fund the UW-Madison project, and I look forward to hearing the results of their investigations.

. . .

James A. Eason Archivist for Pictorial Collections jeason@library.berkeley.edu



MICHAEL POGORZELSKI DIRECTOR ACADEMY FILM ARCHIVE

May 16, 2011

Preservation and Access Research and Development Room 411 National Endowment for the Humanities 1100 Pennsylvania Avenue, NW Washington, DC 20506

Dear Sir or Madam,

I am writing a letter to support the NEH grant proposal submitted on behalf of the Wisconsin Center for Film and Theater Research (WCTFR), the Mahanthappa Lab, and the Wisconsin Historical Society. I will also be sitting on the project's Advisory Board.

The research being proposed will be absolutely essential for the world's moving image archives which contain nitrate motion picture film. There is far too little hard, scientific research available for curators to make fact-based decisions on how best to store and conserve their collections. This research would be an important first step in filling that knowledge void.

As the Director of the Academy Film Archive for the last ten years, I have struggled with my colleagues to handle and store our nitrate films as best as we can. There is nothing worse for an archivist than the unmistakable smell of decomposing nitrate which precedes the sight of a fine brown powder or a goopy, fused roll that used to be a perfectly good reel. And if that reel decomposed before finding its way to the archive, the contents could be lost forever. Far too much of the world's cinematic heritage has already been lost to nitrate destruction and decomposition. I believe that this proposed research could contribute substantially to keeping thousands of reels of nitrate with us for a very long time.

Thank you in advance for your time and consideration.

Sincerely,

Michael Pogorzelski Director Academy Film Archive

HOLLYWOOD, CALIFORNIA

Appendix F: Chilworth Global Budget Detail

Chilworth Global Budget Detail

Service BAM Fallhammer Test of Impact	Price Per Sample		Total for 10 samples	
Sensitivity	\$	1,695	\$	16,950
BAM Friction Test of Friction Sensitivity	\$	1,695	\$	16,950
TOTAL			\$	33,900

Appendix G: Columbia Analytical Services Budget Detail

Columbia Analytical Services Budget Detail

Service	Price Per Sample		Total for 10 samples	
Inductively Coupled Plasma Scan for 35				
metals	\$	240	\$	2,400
Handling fees	\$	185	\$	1,850
TOTAL			\$	4,250

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